



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C12N 5/22</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 98/33891</b> <b>(43) International Publication Date:</b> 6 August 1998 (06.08.98)
<b>(21) International Application Number:</b> PCT/CA98/00049 <b>(22) International Filing Date:</b> 30 January 1998 (30.01.98) <b>(30) Priority Data:</b> 60/037,245 31 January 1997 (31.01.97) US <b>(71) Applicant:</b> HEMOSOL INC. [CA/CA]; 115 Skyway Avenue, Etobicoke, Ontario M9W 4Z4 (CA). <b>(72) Inventors:</b> BELL, David, N.; 1089 Goodson Crescent, Oakville, Ontario L6H 4A7 (CA). WONG, Truman; 21 Kensington Avenue, North York, Ontario M2M 1R5 (CA). <b>(74) Agents:</b> HIRONS, Robert, G. et al.; Ridout & Maybee, 18th floor, 150 Metcalfe Street, Ottawa, Ontario K2P 1P1 (CA).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> METHOD FOR THE PRODUCTION OF SELECTED LYMPHOCYTES  <b>(57) Abstract</b>  The invention is directed to methods for the production of selected populations of lymphocytes. Lymphocytes produced can be isolated and purified using well known and established procedures to provide a consistent lymphocyte source which one of ordinary skill in the art can modify to provide an appropriate type or an optimal level of a desired lymphocyte. The availability of such cell populations allows not only for the complete reconstitution of the depleted, defective or missing lymphocyte population in a patient, but also provides the flexibility of having sufficient cells to permit multiple or cyclic treatments. These methods for expanding target cell populations are broadly applicable to the selective expansion of several types of lymphocytes and are demonstrated to maintain phenotype as well as antigen specificity.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## METHOD FOR THE PRODUCTION OF SELECTED LYMPHOCYTES

### Background of the Invention

#### 1. Field of the Invention

5 The invention relates to methods for the selective production of cells of the lymphohematopoietic system by culturing a mixed population of cells with a conditioned medium. The invention also relates to cells selectively produced by these methods and to methods for utilizing these cells.

#### 2. Description of the Background

10 The human immune system uses several methods to prevent infection by foreign organisms. Skin, for example, provides a physical barrier to penetration while blood contains specialized white blood cells which function to recognize and destroy foreign pathogens. Neutrophils and macrophages are white blood cells attracted to sites of infection where they engulf and digest (phagocytose) foreign organisms, and initiate a typical inflammatory response. Blood also contains lymphocytes which provide  
15 specific and long-lasting immunity against a variety of infectious agents. B cells are lymphocytes which secrete antibodies that bind to and inactivate foreign agents within the bloodstream while T cells are lymphocytes that recognize and kill host cells that are infected by such foreign agents. T cells also recognize alterations in normal cellular proteins that are often associated with transformation to a cancerous state. Other  
20 lymphocytes called natural killer cells are specialized for the destruction of virally-infected and tumor cells. Thus, lymphocytes mediate a specific immune response and may play an important role in the prevention of cancer. Collectively, all cells of the immune system work in a coordinated manner to provide the body with a powerful and diversified repertoire of both nonspecific and highly specific weapons to combat foreign  
25 agents. For example, macrophages secrete factors that activate T cells, direct T cell responses toward specific foreign targets and phagocytose bacteria coated with antibody produced by B cells. Specialized T cells also secrete factors that determine whether the immune response will be dominated primarily by the production of antibodies or the generation of killer T cells. The concerted action of all cells of the immune system  
30 provides a rapid response to the entry of infectious agents as well as long-lasting immunity against re-exposure to the same agents.

Lymphocytes are short-lived cells produced from bone marrow stem cells that give rise to B cells, T cells and natural killer (NK) cells, in addition to all other blood cells. A key feature of stem cells is their ability to provide a constant source of progenitor cells that possess a high proliferative capacity, but are committed to produce cells of one or more blood cell lineage. Cells of the immune system are collectively referred to as lymphoid cells and are believed to be descended from a common lymphoid progenitor cell. Lymphoid progenitors are eventually restricted to the production of a single type of lymphoid cell during the process of differentiation or maturation. The high proliferative capacity of lymphoid progenitor cells allows for the production of large numbers of mature lymphoid cells which under resting conditions do not divide. B cells mature in the bone marrow where they are continuously released into the blood to maintain a constant number of functional B cells. Immature T cells migrate from the marrow to the thymus where they mature and are released to maintain the peripheral T cell pool. In fact, most T cells die within the thymus where immature T cells undergo a process of positive and negative selection in the process of being educated to recognize only foreign, but not self-derived antigens. Mature NK cells formed in the bone marrow and are released into the bloodstream. Upon stimulation, virgin (naive) B and T lymphocytes undergo rapid proliferation and differentiation into both effector cells which mediate a rapid immune response and memory cells which may survive for many years before being called upon to mediate an immune response. Although NK cells can be activated by exposure to certain growth factors, they also provide an innate and spontaneous immunity against virally-infected and malignant cells.

B cells secrete antibodies which are capable of recognizing a vast number of chemical determinants found in proteins, carbohydrates, lipids, or other macromolecules, all of which are collectively referred to as antigens. Antibodies may reside on virgin or memory B cell membranes as antigen receptors which, when bound by the matching antigen, stimulate the proliferation and differentiation of B cells into short-lived plasma cells that secrete large amounts of soluble antibodies specific for the inducing antigen. Antibody binding can have a variety of effects including the

sequestration and inactivation of toxic agents, the prevention of viral entry into host cells or the promotion of phagocytosis of infectious agents by macrophages. The release of antibody into the blood and other body fluids by B cells is the principal source of the humoral immune response. B cells also function, like macrophages, to present foreign  
5 antigens in a manner that can be recognized by T cells. B cells also release important growth factors that influence the function and activation of other immunologically important cells.

T cells recognize antigenic determinants through a surface receptor called the T cell receptor (TcR). Although similar in function to surface bound immunoglobulin,  
10 T cell receptors are not secreted. T cells mediate their prime immunological function through direct contact with infected host cells. These infected cells cooperate by displaying (presenting) antigenic fragments of foreign proteins on their surface as a means of signaling to T cells that they are infected. While T cells recognize antigens presented on all host cells, T cells are first activated to recognize these antigens by  
15 specialized antigen-presenting cells such as dendritic cells, B cells and macrophages. Antigen-presenting cells also express co-stimulatory molecules on their surfaces which are required for full T cell activation. Together with macrophages, T cells are the main component of the cell-mediated immune response and, through the release of soluble factors, are required for virtually all aspects of the immune response. In addition to the  
20 T cell receptor, T cells are characterized by two major T cell-specific surface markers, CD4 and CD8, which define functionally distinct T cell populations. CD4 T cells, called T helper cells, are activated through interaction with antigen-presenting cells and function primarily to activate CD8 T cells, also known as cytotoxic or killer T cells (CTL). CTLs are the main effector T cell mediating the destruction of infected host cells and only  
25 recognize foreign antigens that are bound to specialized molecules found on virtually all cells. Thus, most infected cells of the body may serve as CTL targets. Target cells are killed by factors released from CTLs that cause rapid target cell lysis or through the induction of a highly ordered program of events leading to cell death. In addition to activating CTLs, CD4 helper cells also regulate B cell activation through the release of

soluble factors. Like B cells, most resting virgin T cells are short-lived unless activated to proliferate and generate both effector and memory T cells.

5 T helper cells are grouped according to the type of soluble growth factors secreted after activation by specific antigens. T helper 1 ( $T_H1$ ) cells secrete the main T cell growth factor, interleukin 2 (IL-2) plus interferon gamma ( $IFN\gamma$ ) and interleukin 12. This combination of growth factors selectively activates cytotoxic T cells leading to a predominately cell-mediated immune response. T helper 2 ( $T_H2$ ) cells secrete mainly IL-4 and IL-5 which stimulate B cells and promote a predominately humoral (antibody-based) immune response.  $T_H1$  growth factors such as  $IFN\gamma$  block the  $T_H2$  immune response while  $T_H2$  factors such as IL-4 block the  $T_H1$  response. Although the method of  $T_H1/T_H2$  selection is not known, the type of immune response to a foreign agent is largely determined by the activation of either  $T_H1$  or  $T_H2$  helper T cells.

15 Natural killer cells do not require presentation of foreign or tumor-derived antigens on target cells to direct their cytotoxic action. NK cells possess a spontaneous cytotoxicity against a range of virally-infected and tumor cells that can be broadened following exposure to IL-2. Such cells are then called lymphokine-activated killer (LAK) cells. NK cells also bind antibody-coated cells and mediate a form of cell killing called antibody-dependent cell-mediated cytotoxicity. Little is known about the nature of the markers on cells that stimulate NK cells. However, NK cytotoxicity is specifically inhibited by markers carried by most normal host cells. NK cells also produce a number of growth factors with wide ranging immunological and hematopoietic activity.

25 Although foreign agents such as viruses, bacteria, parasites and other microorganisms that gain entry into the body can remain extracellular, many infect only selected tissues or cells. Viruses, for example, are dependent upon specific host cells for the completion of their life cycle. It is the destruction of the host cell by the virus during its replication and the release of its progeny that leads to the clinical manifestations of the viral disease. Virally-infected cells possess a defensive mechanism whereby fragments of virus-specific proteins (peptides) are presented on their surfaces. Presentation is designed to activate T cells and elicit an immune response that includes destruction of the

virally-infected host cells by CD8<sup>+</sup> cytotoxic T cells. CD4<sup>+</sup> T cells are activated by specialized antigen presenting cells and are essential for the full activation of virus-specific CTLs. Prior exposure to and recovery from an infectious agent produces memory T cells which can persist for many years and are able to mount a rapid and effective immune response upon re-exposure to the same virus. The generation of activated memory T cells, or antigen-specific T cells by *ex vivo* manipulation of patient T cells, offers much promise in the treatment of certain infectious diseases for which either effective vaccines have yet to be developed or existing drugs have proved to be ineffective. Such *ex vivo* manipulation makes it possible to boost an endogenous memory immune response in susceptible individuals or in patients who are immunocompromised, as a means of treating of infectious diseases.

Cancer is a disease which can be broadly characterized by uncontrolled cell proliferation. Normally cell growth and differentiation are highly regulated processes designed to maintain a constant number of cells to meet the particular needs of the body. Many cells reside for long periods of time as a functional part of a tissue or organ where they are terminally differentiated and incapable of cell division. Other cells such as those of the blood, immune and gastrointestinal systems are generally short-lived and require a constant supply of new cells. Such tissues that show a high rate of proliferation are most susceptible to the accumulation of alterations that can lead to cellular transformation and a cancerous state. In these cases, the normal control of cell proliferation is dysregulated leading to the over production of cells. Leukemias are cancers of the white blood cells in which the over production of such cells leads to the concomitant clinical manifestations of the disease. The proliferation of cells in solid tissue result in tumors that may be benign or malignant. Malignant tumors, the most aggressive forms of solid tumors, are characterized by the shedding or migration of malignant cells to other parts of the body facilitating the rapid spread of the cancer and ultimately resulting in poorer prognosis for the patient. The same attributes that cancer cells acquire to mediate their ability to grow and spread are often associated with the expression of altered or novel surface markers recognized by cells of the immune system. Thus, cytotoxic T cells may

be activated by specific tumor markers (antigens) and subsequently kill the tumor cells in a manner analogous to cells infected by a virus. Natural killer cells also possess a potent tumor killing activity that does not appear to require the expression of specific tumor-derived markers. Although, a role for both T cells and NK cells in the destruction of tumor cells *in vivo* by a system of immune surveillance is not firmly established, *in vitro* studies have demonstrated their ability to recognize and destroy tumor cells. Thus, the ability to activate the immune system *ex vivo* and to direct the system to recognize specific tumor antigens has potential therapeutic benefit in the treatment of cancers for which conventional therapies have proven ineffective.

Autoimmune diseases are caused by inappropriate immune responses directed against an individual's own tissues and cells. These diseases are characterized by a variety of symptoms including chronic inflammation and the infiltration of normal tissue by activated lymphocytes that mediate an immune response leading to tissue destruction and the manifestations of disease. Examples of autoimmune disease include rheumatoid arthritis, systemic lupus erythematosus, multiple sclerosis and type I diabetes. Certain forms of autoimmune disease are mediated by self-reactive T cells that recognize endogenous antigens normally expressed on host cells in a manner analogous to the recognition of viral antigens. Although the precise mechanism underlying activation of cytotoxic T cells against host tissue are complex and poorly understood, generally, treatments that specifically suppress these pathogenic self-reactive T cells are of benefit in the treatment of autoimmune diseases.

Cell therapy involves removing cells from a patient, modifying the cells outside of the body (*ex vivo*) and reinfusing the modified cells as a treatment for disease. Adoptive immunotherapy involves the removal of cells of the immune system and activating, expanding or directing these cells to recognize and kill specific targets upon reinfusion. Manipulations of human T cells include expanding cell numbers, activating cells with selected growth factors and directing cells to recognize specific foreign or tumor antigens on infected or tumor cells, respectively. Memory T cells which may be present in small numbers or which respond poorly to viral or tumor antigens in some



patients may be expanded *ex vivo* and activated to induce a more potent killing ability. Alternatively, patient CD8 cytotoxic T cells can be expanded *ex vivo* and directed to kill cells expressing specific viral or tumor antigens by using an *in vitro* antigen-presenting system. In diseases such as AIDS in which the immune system is deficient due to the selective loss of CD4<sup>+</sup> T cells as a result of HIV infection, specific CD8<sup>+</sup> CTLs generated *ex vivo* may possess a more potent killing activity against virally-infected cells as a means of preventing further virus spread. CD8<sup>+</sup> CTLs may have use in the treatment of AIDS and other infectious diseases or malignancies while the CD4<sup>+</sup> cells may also have applications in reconstituting an effective immune system in AIDS patients. Alternatively, the activity of specific T cells can be reduced as a means of treating some forms of autoimmunity and facilitating organ transplantation.

Leukocytes express a variety of surface molecular markers that provide a basis for distinguishing progenitor and mature cells. The cluster of differentiation (CD) numbering system has been devised to provide a universal means for identifying various types of leukocytes. Surface markers on leukocytes are antigenic and can be bound by monoclonal antibodies. By agreement, CD numbers have been assigned to those surface markers to which are bound antibodies having similar specificity characteristics. For example, T cells were found to be distinguished from B cells by their ability to bind to sheep erythrocytes via the CD2 surface marker. Thus, CD2 is a marker for T cells. Of course, the primary surface marker distinguishing T cells is the T cell antigen receptor (TcR), which forms a complex with another T cell specific surface marker, CD3. Most T cells express a T cell receptor composed of an alpha ( $\alpha$ ) chain and a beta ( $\beta$ ) chain (TcR $\alpha\beta$ ), while a small subset express a TcR composed of a gamma ( $\gamma$ ) chain and a delta ( $\delta$ ) chain (TcR $\gamma\delta$ ).

With regard to T cells specifically, the vast majority of T cells can be subdivided into either CD4<sup>+</sup> or CD8<sup>+</sup> cells, *i.e.* T cells which express either the CD4 or the CD8 marker. CD4<sup>+</sup> T cells are also known as helper T cells, and function to positively or negatively influence the immune response of B cells and other T cells. CD8<sup>+</sup> T cells are called cytotoxic or killer T cells. Suppressor T cells, which are

activated by CD4+ cells, also are CD8+. Other lymphocytes which exhibit a cytotoxic function include natural killer (NK) cells and lymphokine activated killer (LAK) cells, which cells are both CD4- and CD8-, cytokine induced killer cells (CIK) which co-express CD56, CD3, TcR $\alpha\beta$  and CD8, and TcR $\gamma\delta$ + cells which are either CD4-/CD8- or CD4-/CD8+.

### Summary of the Invention

The present invention overcomes the problems and disadvantages associated with current strategies and designs and provides new compositions and methods for the production and use of selected populations of lymphocytes.

One embodiment of the invention is directed to methods for rapidly producing a desired population of lymphocytes. Using this method, large populations of lymphocytes can be easily, inexpensively and rapidly produced from a very small sample of cells or tissue. Lymphocyte populations can be maintained in culture, expanded, stored for later use, stimulated to differentiate or activated or directed to a specific function or target as needed. The procedures described herein are short, straightforward and applicable to lymphocytes from any source. Lymphocytes produced by the current invention are useful in transplantation therapy and provide a number of advantages over current procedures to produce such cells. The invention obviates the need to use multiple pools of donor cells and to employ extensive separation procedures, both of which are presently required to obtain enriched populations of CD4, CD8 or NK lymphocytes. Further, these methods enable the production of large numbers of CD4 and CD8 lymphocytes as well as NK cells, CIK cells, TcR $\alpha\beta$  and TcR $\gamma\delta$  T cells for use in autologous or allogeneic transplantation therapy.

Another embodiment of the invention is directed to methods for producing an expanded culture of lymphocytes containing an enriched fraction of a desired population of lymphocytes. According to these methods, a culture of cells is enriched in the lymphocyte fraction by culturing in the presence of a conditioned medium (CM) which provides a balance of stimulatory and inhibitory factors that favour the

proliferation of the target lymphocyte population. The target lymphocyte population comprises populations of CD4 and CD8 lymphocytes, natural killer cells, LAK cells, CIK cells, TIL cells (tumor infiltrating cells), TcR $\alpha\beta$  and TcR $\gamma\delta$ , their subpopulations, precursors and cells in intermediate stages of differentiation, terminally differentiated  
5 cells or mixtures thereof. The target cells are subsequently useful in transplantation therapy.

Another embodiment of the invention is directed to cells produced by the above-described methods. Cells can be maintained or expanded in culture, isolated and purified or cryopreserved, either before or after purification, for later use. As cells are  
10 expanded from a single population, homogeneity can be easily determined.

Another embodiment of the invention is directed to CM compositions comprising a mixture of cell factors having a balance of stimulatory and inhibitory effects favoring the proliferation of a desired cell population. The CM composition is produced by treating a cell population with an inducing agent which preferably comprises a  
15 mitogen. Useful mitogens include plant lectins such as concanavalin A (ConA) or phytohemagglutinin (PHA), T-cell mitogens such as mezerein (Mzn) or tetradecanoyl phorbol acetate (TPA) or a T-cell antibody such as those directed against the CD3 or CD28 antigen. CM can be selectively modified by removing or adding specific factors to favour the proliferation of a different target cell population. Alternatively, a CM can  
20 be prepared from a variety of different starting cell populations, thereby creating a CM-1, a CM-2, and so on, each specific for one or more different target cell populations.

Another embodiment of the invention is directed to methods of transplantation therapy wherein primary mammalian lymphocytes (*e.g.* from blood, other bodily fluids or tissues) are cultured *in vitro* with a CM. The resulting expanded  
25 lymphocytes are maintained or cryopreserved for later use or can be immediately introduced into a patient for transplantation therapy or other therapeutic or prophylactic uses. Target lymphocytes can be used to supplement defective immune systems, repair damaged immune systems or suppress overactive immune systems as a means of treating the associated diseases. Alternatively, target cells can be employed to produce useful cell

products such as cytokines, lymphokines and chemokines as well as other stimulatory or inhibitory cellular factors.

Another embodiment of the invention is directed to methods of gene therapy. Target lymphocytes are made by the method of the invention and directly transfected with a genetic sequence or infected with recombinant viral vectors containing a genetic sequence. Cells which properly express the genetic sequence of interest are selected, isolated and expanded *in vitro*. The expanded cells expressing the gene of interest are then administered into the patient. Useful genes for gene therapy include genes whose expression products are absent or defective in the patient, and genes and other genetic sequences whose expression provide a beneficial effect to the patient.

Other embodiments and advantages of the invention are set forth, in part, in the description which follows and, in part, will be obvious from this description or may be learned from the practice of the invention.

### Description of the Drawings

- Figure 1 Cumulative cell yield (a) for cord blood cells expanded with CM, (b) cord blood expanded with CM/P, (c) adult blood cells expanded with CM. (d) adult blood cells expanded with CM/P, and (e) best fit average.
- Figure 2 Cell cultures treated with various combinations of ConA, Mzn, CM and P.
- Figure 3 Cell cultures treated with various combinations of CM, CM(C), CM(M) and IL-2.
- Figure 4 Average percent of CD3+, CD4+ and CD8+ cord blood cells during culture with CM/P.
- Figure 5 Average percent of CD3+, CD4+ and CD8+ cord blood cells during culture with CM.
- Figure 6 Expansion of umbilical cord blood T cell subsets with CM and CM/P.
- Figure 7 (a) CD4-enriched cord T cells expanded with CM and CM/P, (b) CD8-enriched cord T cells expanded with CM and CM/P, (c) unfractionated cord

LDMNC expanded with CM and CM/P, and (d) CD4-enriched and CD8-enriched cord T cells expanded with CM and CM/P.

Figure 8 Expansion of adult peripheral blood T cell subsets with CM and CM/P.

Figure 9 (a) CD4-enriched adult T cells expanded with CM and CM/P, (b) CD8-enriched adult T cells expanded with CM and CM/P, (c) unfractionated adult LDMNC expanded with CM and CM/P, and (d) CD4-enriched and CD8-enriched adult T cells expanded with CM and CM/P.

Figure 10 Expression of TcR $\alpha\beta$  and TcR $\gamma\delta$  on cord blood lymphocytes expanded in CM/P.

Figure 11 Polyclonal expansion of CD4<sup>+</sup> T cells by CM.

Figure 12 Polyclonal expansion of CD8<sup>+</sup> T cells by CM/P.

Figure 13 Expression of CD45RA and CD45RO on cord blood lymphocytes expanded in (a) CM/P and (b) IL-2/P.

Figure 14 Expression of (a) HLA-DP, HLA-DQ and HLA-DR on cord blood lymphocytes expanded in CM/P and (b) HLA-DR on cord blood lymphocytes expanded in IL-2/P.

Figure 15 Expansion of CD25 and CD69 on cord blood lymphocytes expanded in CM/P.

Figure 16 (a) Expression and (b) co-expression of CD56 and CD16 on cord blood lymphocytes expanded in CM/P.

Figure 17 Expression of CD3, CD8, TcR $\alpha\beta$ , CD56 and CD16 on cord blood lymphocytes expanded in CM/P.

Figure 18 Average percent of CD4<sup>+</sup>, CD8<sup>+</sup>, CD4<sup>+</sup>/CD8<sup>+</sup> and CD4<sup>-</sup>/CD8<sup>-</sup> adult blood cells during culture with CM.

Figure 19 Average percent of CD4<sup>+</sup>, CD8<sup>+</sup>, CD4<sup>+</sup>/CD8<sup>+</sup> and CD4<sup>-</sup>/CD8<sup>-</sup> adult blood cells during culture with CM/P.

Figure 20 Antigen specificity of (a) M-LNC and (b) M-LNC following expansion with CM.

## Description of the Invention

As embodied and broadly described herein, the present invention is directed to methods for the production of lymphocytes, compositions used in these methods, the resulting lymphocytes produced and methods for the use of produced lymphocytes.

5           Current methods of lymphocyte cell therapy cannot generate purified populations of CD4 and CD8 lymphocytes from a single unenriched lymphocyte cell population expanded *in vitro*. Expansion of desired lymphocyte cell populations derived from patients is often not possible, thus preventing the required therapeutic *in vitro* manipulation of a patient's cells. It has been discovered that large numbers of  
10 lymphocytes can be produced from very small samples of biological fluids relatively inexpensively and in a fairly short time frame. These lymphocytes, once produced, can be isolated and purified using well known and established procedures. This advantage saves the time and expense typically associated with conventional separation-enrichment techniques and provides a consistent lymphocyte source which one of ordinary skill in  
15 the art can modify to provide the appropriate cell type or the optimal level of a desired lymphocyte. The availability of such cell populations allows for not only for the complete reconstitution of the depleted, defective or missing lymphocyte population in a patient, but also provides the flexibility of having sufficient cells to permit multiple or cyclic treatments of the patient. These methods are broadly applicable to the selective  
20 expansion of several types of lymphocytes.

In the practice of the method, one or more types of lymphocyte present in a sample of cells can be preferentially expanded to enrich a specific fraction of lymphocyte. The target lymphocyte population to be expanded can be selected from any mammalian lymphocyte population, preferably a primary mammalian lymphocyte  
25 population, and more preferably a primary human lymphocyte population. The starting cell population may be, for example, a sample of a patient's blood (*e.g.* peripheral), bone marrow, lymph or lymph node, or other cells or tissues of the lymphohematopoietic system including combinations of these cells and cell populations. Accordingly, cell populations that can be expanded and enriched in the starting population include, for

example, stem cells, progenitor cells, precursor cells and fully differentiated cells (*i.e.* cells at all stages of differentiation), as well as combinations of these cells. For example, lymphocytes present at very low levels in some cell samples can be selectively proliferated to increase their fraction in the expanded population. During selective expansion and enrichment, nonlymphocytes can be allowed to die off, or to remain unexpanded, *i.e.* to fall in number, proportion or both in the expanded culture. Further, expansion does not require the use of stromal or accessory feeder cells, typically necessary with conventional procedures. One of the important clinical advantages of this method is that cell populations containing a fraction of the selected lymphocytes can be produced simply and in many cases without the need for separation or purification steps or the addition of separate and expensive cytokines. The method allows for the selective and sequential production of, for example, CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells and CD56<sup>+</sup> natural killer cells from a single unenriched blood or other tissue sample which then may be utilized as required by the appropriate adjustment of the culture time or conditions.

A starting cell population is selected and induced to produce a CM which comprises a mixture of cell factors secreted by or otherwise obtained from the starting cell population and which has a predetermined balance of stimulatory and inhibitory effects preferentially favoring the expansion and enrichment of the desired target cell population. The starting cell population comprises, for example, primary cells of the blood, bone marrow, body tissues or established cell lines, or cells that have been previously expanded by conventional or other means such as, for example, enriched CD4<sup>+</sup> cells. The starting cell population may contain cells that are of the same cell type as the desired target cell, of a desired target cell type at distinct stages of differentiation and capable of differentiating to cells of the desired target cell type or cells that are of a completely different type from the desired target cell type. The invention also includes the situation where the starting cell population is the target cell population that is ultimately expanded.

The starting cell population useful to produce a particular CM for the expansion of lymphocytes is preferably selected from peripheral blood cells, umbilical

cord blood cells or bone marrow cells. Because of clinical advantages, peripheral blood cells are preferred for use as a starting cell population. Peripheral blood cell populations useful as the starting cell population include whole peripheral blood as well as fractions thereof such as, for example, leukophoresis cells, buffy coat cells, peripheral blood mononuclear cells (PBMNC), and low density mononuclear cells (LDMNC).

Production of a CM useful in the invention is accomplished by inducing the starting cell population to produce a specific mixture of factors. Preferably, the starting cell population is induced by adding an inducing agent followed by culturing. The CM inducing process may be affected by factors produced by the cells during culture, and by culturing conditions, such as, the medium used, temperature, time of culture, pH, exogenous recombinant growth factors, nutrients, *etc.* In this regard, the medium used may be serum-free.

Added inducing agents for the production of a CM generally comprise chemical or biological substances that have a mitogenic effect on the cell types of the starting cell population. These agents are administered to the medium. Administration may be periodic or in a single or relatively few doses. Administration may also be periodic after each of one or more preincubation periods. Among the classes of mitogens that are useful to induce the starting cell population are plant lectins, T cell mitogens and monoclonal antibodies, and combinations of these agents. Particular plant lectins that have the desired mitogenic activity include those derived from the following sources:

*Phaseolus vulgaris* (PHA, phytohemagglutinin)  
*Dolichos biflorus*  
*Solanum tuberosum*  
*Sophora japonica*  
*Maclura pomifera*  
*Pisum sativum*  
*Ulex europaeus* (UEA-I, U. europaeus agglutinin I)  
*Ulex europaeus* (UEA-II, U. europaeus agglutinin II)  
*Arachis hypogaea*  
*Glycine max*  
*Canavalia ensiformis* (ConA, concanavalin A)  
*Triticum vulgaris* (WGA, wheat germ agglutinin)  
*Ricinus communis* (RCA-I, R. communis agglutinin I)  
*Lycopersicon esculentum*



*Phytolacca americana* (PWM, pokeweed mitogen)  
*Listeria monocytogenes* (LPS, lipopolysaccharide)

A particularly useful group of plant-derived mitogens includes PHA, ConA, mezerein (Mzn) and TPA (and related diterpene esters). TPA and some of its related  
5 compounds are as follows:

Phorbol 12-myristate-13-acetate (TPA)  
Phorbol (4-O-methyl) 12-myristate-13-acetate  
Phorbol (10-oxo-20-deoxy) 12-myristate-13-acetate  
Phorbol 12-monomyristate  
10 Phorbol 12, 13-didecanoate  
Phorbol 12, 13-dibutyrate  
Phorbol 12, 13-dibenzoate  
Phorbol 12, 13-diacetate

Mitogens of non-plant origin can also be used, such as Staphylococcal  
15 enterotoxin A (SEA), Streptococcal protein A, galactase oxidase and T cell antibodies  
such as anti-CD3 antibodies (*e.g.* OKT3) or anti-CD28 antibodies. Interferon-alpha  
(IFN $\alpha$ ), IFN $\beta$ , and IFN $\gamma$  can also be used as inducing agents in some circumstances.

Cytokines and chemokines are produced in the CM, thus making the CM  
a source for the production of these molecules. While at least fourteen such factors have  
20 been identified so far, no combination of these known recombinant cytokines and  
chemokines can reproduce the activity of CM in the embodiments herein described.

A feature of the invention is the expansion of target cells using a CM. The  
first step is preferably carried out in an appropriate basal medium, which can be  
supplemented with one or more defined cytokines as desired for optimal or appropriate  
25 cell growth. Culture conditions for individual cell types may vary, but standard tissue  
culture conditions form the basis of culture treatment. Typically, cells are incubated in  
5% CO<sub>2</sub> incubators at 37°C in medium. Specific chemical agents, proteins, medium  
components such as insulin or plasma, and certain growth or colony stimulating factors  
(CSFs) may be required for the maintenance of certain cell types.

30 The CM can be added to the medium in an amount sufficient to obtain the  
desired expansion of the target cell or cells. Additive amounts will vary depending on the

nature of the CM, the make-up of the cultured cell population and the culture conditions. In practice, this addition of CM is usually from about 1% to about 10% or more. Additionally, pooled human CM or plasma (*e.g.* autologous or allogeneic) may also be added in the range of from 0 to about 10% or more. CM and P can be prepared from the same cells, the same individuals or HLA-matched cells and used to expand cells (*e.g.* cord blood, T cells, *etc.*) from the same individual or HLA-matched cells. Accordingly, expanded cells can be administered prophylactically or therapeutically to treat the individual from which treated cells were obtained, or cells from which the CM was prepared or P was obtained, or can be pooled for administration to HLA-matched individuals.

The length of the culture steps can be varied to assist further in the selective proliferation of the target cell population. When the cell population involves cells on or induced to enter a differentiation pathway, the final target cell enrichment may depend on when the culture is terminated. Typically, the expansion of T cells involves a culturing period of at least 3 days, but more usually, at least about 14 to about 21 days. It may be advantageous to extend the culturing period to obtain certain target cells such as cytokine-induced killer cells and TcR $\gamma\delta$  T cells.

An added advantage of the invention is the inherent purging of tumor cells during cell expansion (*e.g.* lymphoid or myeloid leukemias, lymphomas). It has been reported that the number of some chronic myeloid leukemic cells rapidly declines in long term culture. The purging of tumor cells during expansion according to the methods of the invention can be facilitated by the presence of cytokines such as, for example, IFN $\gamma$  or tumor necrosis factors (TNFs), and cells such as, for example, activated macrophages which have antiproliferative or cytotoxic effects on tumor cells. Expanded cell populations can also be used to treat patients purged of a hematological malignancy or solid tumor. Expanded cells purged of tumor cells in culture can be administered to patients as a treatment or adjunct to conventional therapy such as chemotherapy or radiation therapy. T cells can be prepared that possess a desired antigen-specificity and used in prophylaxis or therapy in the treatment of viral-specific or tumor-specific

disorders. Expanded cells can also be used in gene transduction to deliver genetic elements missing or dysfunctional in a patient (*e.g.* ADA gene). Further, populations of expanded cells, which may be antigen-specific, can be administered to reconstitute or otherwise strengthen an immune system damaged by a disease or a disorder, or even an otherwise healthy immune system.

The expanded cell population can have either a greater number of target cells (expansion) or a higher percentage of target cells (enrichment) in the final population, as compared to the original population, or both. For example, cells present in very low numbers and fractions can be expanded and enriched to give populations having greater than about 5%, preferably greater than about 20% of target cells or more preferably greater than about 50% of target cells. The target cell can be expanded to at least about 100-fold, and usually to at least about 10,000-fold or more.

The following examples illustrate embodiments of the invention, but should not be viewed as limiting the scope of the invention.

## Examples

Example 1 Expansion of Umbilical Cord Blood Low Density Mononuclear Cells with CM

### A. Preparation of CM

Human umbilical cord blood containing 20 units of heparin per ml was used as the starting material for the preparation of CM. A sample of the blood was diluted 1:20 with 2% acetic acid and the total number of nucleated cells determined using a hemocytometer. The average number of nucleated cells per ml of human umbilical cord blood was  $1.2 \times 10^7$  and the average number of nucleated cells per umbilical cord was  $6.0 \times 10^8$ .

Blood was diluted in AIM-V™ media containing 20 units/ml heparin and 50  $\mu$ m 2-mercaptoethanol (serum-free media: HCBM-2), to give a final concentration of  $4 \times 10^6$  nucleated cells per ml. Mezerein was added, at a final concentration of 10 ng/ml and the mixture was incubated for 2 hours in a humidified incubator maintained at 37°C

and 5% CO<sub>2</sub>. Concanavalin A was added to a final concentration of 20 µg/ml and the incubation was continued under the same conditions for four days. Supernatant (CM) was harvested by centrifugation of the mixture at 500 x g for 30 minutes at 4°C, and stored at -20°C. Prior to use, CM was thawed at room temperature and clarified by  
 5 centrifugation at 500 x g for 15 minutes at 4°C, followed by filtration using a 0.22 µm syringe-mounted filter.

#### B. Composition of CM

The concentration of several specific cytokines and chemokines in CM were measured by enzyme-linked immunosorbent assay (ELISA). The median value for  
 10 each factor is presented in Table 1. The factors fall into four categories (a-d) based on their concentration in CM:

- (a) > 10 ng/ml: IL-2, GM-CSF, MIP-1 $\alpha$ , MIP-1 $\beta$ , RANTES
- (b) 1 - 10 ng/ml: IL-1 $\beta$ , IFN- $\gamma$ , IL-16
- (c) < 1 ng/ml: IL-12, TNF- $\alpha$
- 15 (d) << 1 ng/ml or not detectable: IL-10, IL-4, IL-7, IL-15

Table 1  
Composition of CM

	<u>Factor</u>	<u>Concentration* (ng/ml)</u>	<u>Range(ng/ml)</u>
20	IL-2	43.9	12-159
	GM-CSF	11.0	0.7-24
	MIP-1 $\alpha$	98.0	68-243
	MIP-1 $\beta$	11.2	1-39
	RANTES	14.3	4-54
25	IL-1 $\beta$	6.4	0.2-18
	IFN- $\gamma$	3.6	0.6-14
	IL-16	2.1	0.5-6
	IL-12	0.26	0.07-0.8
	TNF- $\alpha$	0.37	<0.001-3.4
30	IL-10	0.02	0.007-0.2
	IL-4	<0.023	<0.023-0.07
	IL-7	<0.001	<0.001-0.24
	IL-15	<0.008	<0.008

\* = median of n=8-18 independent lots

#### C. Optimization of CM Addition Level

The optimal concentration of CM for causing the expansion of umbilical cord blood, low density mononuclear cells (LDMNC) was determined using CM prepared as described in Example 1A. Umbilical cord blood LDMNC were prepared by density gradient fractionation of whole umbilical cord blood using Ficoll-Hypaque™ (density: 1.077 g/ml). A volume of 15 ml of blood was layered onto an equal volume of Ficoll-Hypaque™ in a 50 ml conical tissue culture tube, which was centrifuged at 400 x g for 30 minutes at room temperature. Low density mononuclear cells at the interface were collected, and the cells washed twice in HCBM-2 by centrifugation at 100 x g for 10 minutes at room temperature. LDMNC were diluted in HCBM-2 containing 10% fetal calf serum and incubated in polystyrene tissue culture flasks overnight at 37°C and 5% CO<sub>2</sub>. The density gradient fractionation was repeated and the cells washed twice in HCBM-2. The yield of LDMNC was determined using 2% acetic acid as described above. The average number of LDMNC per ml of umbilical cord blood was  $3.2 \times 10^6$  and the average number of LDMNC per umbilical cord was  $1.1 \times 10^8$ .

Umbilical cord LDMNC were diluted to a final density of  $1 \times 10^5$  cells/ml in HCBM-2 containing 0 - 10 % CM. Cell suspensions were incubated in a 24-well tissue culture plate (1.5 ml/well) for 3 days at 37°C and 5% CO<sub>2</sub>. Cell count and viability for each condition were determined by mixing a sample of the culture with an equal volume of 0.4% trypan blue and counting the unstained (viable) and blue (non-viable) cells using a hemocytometer. As indicated in Table 2, the greatest levels of cell expansion were obtained using 5% and 10% CM.

Table 2  
Optimization of CM Addition Level

<u>Percent CM</u>	<u>Fold Cell Expansion</u>	<u>Percent Cell Viability</u>
0	0.95	90
1	6.2	94
2	8.9	94
5	10.0	88
10	10.0	88

D. Expansion of Umbilical Cord Blood Low Density Mononuclear  
Cells Cultured with CM

Umbilical cord blood LDMNC were prepared as described in example 1C. The cells were diluted to a final density of  $1 \times 10^5$  cells/ml in serum-free HCBM-2 medium containing 5% CM. The cell suspension was incubated in a 24-well tissue culture plate (1.5 ml/well). Every 4 to 7 days the cell count and viability were determined using trypan blue as described above. At each time point, the cells were sub-cultured by diluting an appropriate volume of the cultured cells to a cell density of  $1 \times 10^5$  cells/ml in fresh HCBM-2 containing 5% CM. The fold of cell expansion was determined at each passage, and the total theoretical yield of viable cells calculated assuming all of the cells had been kept in continuous culture. The data from over 50 cultures are shown in Figure 1a. The best fit line of the average cumulative cell yield for these cultures is shown in Figure 1e. On average, umbilical cord LDMNC cultured under these conditions expand approximately 10-fold in 5 days, and can achieve more than a 10,000-fold expansion within one month.

The addition of 5% umbilical cord blood plasma (P) to cultures of umbilical cord blood LDMNC in 5% CM (CM/P) generally increases the longevity of the cultures and can result in a further 10-fold increase in expansion (Figures 1b and 1e).

E. Direct Addition of ConA, Mzn and IL-2 to Umbilical Cord Blood  
Low Density Mononuclear Cells

Umbilical cord blood LDMNC were prepared as described in Example 1C and cultured as described in Example 1D. Either 5% CM or combinations of 20  $\mu$ g/ml ConA, 10 ng/ml Mzn and 10 ng/ml recombinant human IL-2 were added directly to

cultures which were further supplemented with 5% umbilical cord blood plasma (P). The maximum fold of expansion obtained using the direct addition of ConA, Mzn and IL-2 (315-fold) was several orders of magnitude less than that obtained using CM (108,000-fold), indicating that the T cell expansion activity of CM was not due to the direct stimulation of the cells by residual Mzn or ConA that was used to prepare CM (Figure 2).

#### F. Complementation of CM Activity

CM, prepared using both Mzn and ConA as described in Example 1A, was compared to conditioned media (CM) prepared with ConA only (CM(C)) or with Mzn only (CM(M)). Unlike complete CM, which was shown to contain significant levels of IL-2 (see Example 1B, Table 1), the component CMs, CM(C) and CM(M) did not contain detectable IL-2. Accordingly, the component CMs were supplemented with 10 ng/ml IL-2. Umbilical cord blood LDMNC were prepared as described in Example 1C and cultured as described in Example 1D in the presence of 5% CM, 5% CM(M), 5% CM(M) plus IL-2, 5% CM(C), 5% CM(C) plus IL-2, 5% CM(M) plus 5% CM(C), or 5% CM(M) plus 5% CM(C) plus IL-2. Only the combination of CM(M) plus CM(C) plus IL-2 produced a level of cell expansion equivalent to complete CM (Figure 3). Although some growth was obtained using other combinations, the overall fold of expansion was much less and the kinetics of expansion were different. These results indicate that there are at least three active components in CM: one is ConA-dependent, one is Mzn dependent and one is IL-2 which is dependent on both ConA and Mzn together.

#### G. Expansion of Umbilical Cord Blood Low Density Mononuclear Cells Cultured with Known Mitogens

In contrast to the maximum folds of cell expansion achieved by culturing umbilical cord blood LDMNC with CM or CM/P, the maximum folds of expansion achieved when the same cells are cultured in the presence of other mitogens (Table 3), or combinations thereof, are very low (Table 4). The growth factors are recombinant and the anti-CD3 monoclonal antibody, OKT3, was purified from cell culture supernatant by precipitation at 50% ammonium sulphate saturation. The factors were used at

biologically active concentrations as indicated in Table 3. Umbilical cord blood plasma (P) at a concentration of 5%, was added to most cultures involving mitogen stimulation.

Table 3

Concentrations of Mitogens Used to Stimulate  
Cord Blood Low Density Mononuclear Cells

5	IL-2	0.6 - 15 ng/ml
	IL-1 $\beta$	0.03 - 2 ng/ml
	IFN- $\gamma$	0.1 - 10 ng/ml
	GM-CSF	0.6 - 12 ng/ml
10	TNF- $\alpha$	0.02 - 10 ng/ml
	MIP-1 $\alpha$	3.4 - 68 ng/ml
	MIP-1 $\beta$	0.75 - 15 ng/ml
	RANTES	3 - 60 ng/ml
	IL-3	10 ng/ml
15	erythropoietin	2 U/ml
	SCF	25 ng/ml
	IL-4	10 ng/ml
	IL-10	0.005 ng/ml
	IL-12	0.08 - 10 ng/ml
20	OKT3	10 - 1000 ng/ml
	mezerein	0.5 ng/ml
	concanavalin A	1 $\mu$ g/ml

Table 4

Expansion of Umbilical Cord Blood Low Density  
Mononuclear Cells Cultured with Known Mitogens

	<u>Mitogen Combination</u>	<u>Maximum Fold Cell Expansion</u>
	IL-2/P	6
	IL-2/IL-1 $\beta$ /IFN- $\gamma$ /P	9
	IL-2/IL-1 $\beta$ /IFN- $\gamma$ /GM-CSF/P	11
30	IL-2/IL-1 $\beta$ /IFN- $\gamma$ /GM-CSF/ /TNF- $\alpha$ /P	17
	IL-2/IL-1 $\beta$ /IFN- $\gamma$ /GM-CSF/ /TNF- $\alpha$ /MIP-1 $\alpha$ /MIP-1 $\beta$ /RANTES/P	56
	IL3/erythropoietin	2
35	IL3/SCF/erythropoietin	12
	IL-4/P	2
	IL-2/IL-4/P	11
	IL-2/IL-12/P	10
	IL-4/IL-12/P	7
40	IL-2/IL-4/IL-12/P	9
	OKT3/FBS	38



	OKT3/IL-2/FBS	30
	OKT3/IL-2/P	13
	Mzn/P	<1
	Mzn/IL-2/P	<1
5	ConA/P	6
	ConA/IL-2/P	3052
	ConA/IL-2/IL-1 $\beta$ /P	2056
	ConA/IL-2/IL-1 $\beta$ /MIP-1 $\alpha$ /P	237
	Mzn/ConA/P	4
10	Mzn/ConA/IL-2/P	315
	ConA/IL-2/IL-1 $\beta$ /IL-12/IFN- $\gamma$ / /GM-CSF/TNF- $\alpha$ /MIP-1 $\alpha$ /MIP-1 $\beta$ / /RANTES/P	911
15	ConA/IL-2/IL-1 $\beta$ /IL-12/IL-10/IFN- $\gamma$ / GM-CSF/TNF- $\alpha$ /MIP-1 $\alpha$ /MIP-1 $\beta$ / /RANTES/P	47

## Example 2 Expansion of Adult Peripheral Blood Low Density Mononuclear Cells with CM

### 20 A. Expansion of Adult Peripheral Blood Low Density Mononuclear Cells with CM

Low density mononuclear cells were isolated from human adult peripheral blood by density gradient centrifugation using the same technique described for umbilical cord blood in Example 1C. The average number of nucleated cells per ml of adult peripheral blood was  $5.6 \times 10^6$  and the average yield of LDMNC per ml of adult peripheral blood after density gradient fractionation was  $1.2 \times 10^6$ .

Adult peripheral blood LDMNC were cultured at  $1 \times 10^5$  cells/ml in HCBM-2 containing 5% CM as described for umbilical cord blood cells in Example 1D. Data from over 18 cultures of adult LDMNC are shown in Figure 1c. The best fit line of the average cumulative cell yield for these cultures is shown in Figure 1e. Expansion of adult peripheral blood cells in 5% CM is routinely greater than that of umbilical cord blood cells under the same conditions. On average, adult peripheral blood mononuclear cells cultured under these conditions expand approximately 10-fold in 5 days, and often exceeds a 100,000-fold expansion within one month. The addition of 5% umbilical cord

blood plasma does not increase the expansion of adult peripheral blood LDMNC cultured in 5% CM (Figures 1d and 1e).

B. Comparison of Umbilical Cord Blood and Adult Peripheral Blood Lymphocyte Expansion by CM and CM/P

Table 5 compares the average fold expansion of T cells from umbilical cord blood LDMNC and adult peripheral blood LDMNC. CM is capable of reproducibly inducing a very large expansion of umbilical cord blood cells and an even larger expansion of adult peripheral blood cells. The addition of umbilical cord blood plasma (P) tends to increase the expansion of cord cells and extend the longevity of the cultures. Adult peripheral blood cells are less dependent on cord blood plasma (Figure 6). The average folds of cell expansion are significantly greater than the maximum fold of cell expansion achieved by any other mitogens (Table 4).

Table 5  
Comparison of Umbilical Cord Blood and Adult Peripheral  
Blood Lymphocyte Expansion by CM and CM/P

<u>Umbilical Cord Blood</u>		<u>Adult Peripheral Blood</u>	
CM	CM/P	CM	CM/P
(n=29)	(n=24)	(n=13)	(n=12)
Average fold expansion			
in 4 weeks		182,227	128,719
Range		2,768- 1,349,147	1,918- 1,033,200
Percent that expanded beyond 4 weeks		92	92

Example 3 Comparison of Buffy Coat CM and Whole Blood CM, and Cord Blood CM and Adult Blood CM

CM prepared from whole, unfractionated umbilical cord blood as described in Example 1A, was compared to CM prepared from buffy coat cells. Umbilical cord blood was centrifuged at 400 x g for 15 minutes at room temperature. The buffy coat layer of predominantly leukocytes, together with the supernatant plasma, was collected and the total number of nucleated cells was determined using 2% acetic acid as described

in Example 1A. Buffy coat leukocytes were diluted in HCBM-2 to a final cell density of  $4 \times 10^6$  cells/ml. Buffy coat CM was prepared by adding mezerein and concanavalin A as described in Example 1A for whole blood. Buffy coat CM and whole blood CM caused similar folds of expansion of umbilical cord blood cells when used in culture at a concentration of 5% (Table 6).

Table 6  
Comparison of CMs

		<u>Fold of Cell Expansion</u>	
		<u>CM</u>	<u>CM/P</u>
5	Buffy Coat CM vs. Whole Blood CM		
	Buffy Coat CM	278,000	223,000
	Whole Blood CM	122,000	287,000
	Cord Blood CM vs. Adult Blood CM		
	Cord Blood CM	329,000	517,000
10	Adult Blood CM	52,000	525,000

Umbilical cord blood CM was also compared to adult peripheral blood CM. ELISA analysis indicated that adult peripheral blood CM has a cytokine profile that is very similar to that of umbilical cord blood CM (Example 1B, Table 1), except for a higher level of INF- $\gamma$  (median = 102 ng/ml, range = 47-302 ng/ml). Adult peripheral blood CM and umbilical cord blood CM caused similar folds of expansion of umbilical cord blood cells when used in culture at concentrations of 5% (Table 6).

#### Example 4 Expression of T Cell Antigens on Cord Blood Cells and Adult Blood Low Density Mononuclear Cells

The phenotype of cord blood T cells expanded with CM or CM/P was extensively characterized by one, two or three-color flow cytometry, using the combinations of fluorescent-labeled antibodies specific for the T cell markers described in Table 7.

The proportion of fresh umbilical cord blood LDMNC and the proportion of fresh adult peripheral blood LDMNC expressing each of these antigens is given in Table 8, while the proportions co-expressing CD3, CD4 and CD8 are given in Table 9.

Table 7

## Antibody Combinations used in Flow Cytometry

	<u>Antibody Combination</u>	<u>Marker</u>	<u>Description</u>
5	FITC-anti-CD 8	CD 8	Cytotoxic T cells
	PE-anti-CD 4	CD 4	Helper T cells
	TC-anti-CD 3	CD 3	Pan T cells
	FITC-anti-TcR $\alpha\beta$	T cell receptor $\alpha\beta$	Majority of T cells
	PE-anti-TcR $\gamma\delta$	T cell receptor $\gamma\delta$	Small subset of TCR $\alpha\beta$ <sup>+</sup>
10	FITC-anti-CD 45RA	CD 45RA isoform	Naive T cells
	PE-anti-CD 45RO	CD 45RO isoform	Memory T cells
	FITC-anti-HLA-DP	class II MHC	Activated T cells
	FITC-anti-HLA-DQ	class II MHC	Activated T cells
	TC-anti-HLA-DR	class II MHC	Activated T cells
15	TC-anti-CD 25	IL-2R $\alpha$ chain	Activated T cells
	TC-anti-CD 69	Activation inducer	Activated T cells
	FITC-anti-CD 71	Transferrin receptor	Activated T cells
	FITC-anti-CD8	CD 8	Cytotoxic T cells
	PE-anti-CD 56	CD 56	NK cells/Activated T cells
20	TC-anti-CD 3	CD 3	Pan T cells
	FITC-anti-CD 8	CD 8	Cytotoxic T cells
	PE-anti-CD 56	CD 56	NK cells/Activated T cells
	TC-anti-TcR $\alpha\beta$	T cell receptor $\alpha\beta$	Majority of T cells
	FITC-anti-CD 16	Fc $\gamma$ -R type IIIa	NK cells
25	PE-anti-CD 56	CD 56	NK cells/Activated T cells
	TC-anti-CD 3	CD 3	Pan T cells

Table 8  
Antigen Expression (percent) on Fresh Cord  
and Adult Blood LDMNC

		Cord Blood			Adult Blood		
		% positive			% positive		
Type	Description	Mean	S.D.	n	Mean	S.D.	n
Progenitor	CD34	1.6	1.1	67	0.9	0.6	6
Leukocyte	CD45	81	16	52	85	20	3
Erythroid	GlyA	21	16	43			
Monocyte	CD14	17	10	18			
Myeloid Progenitor	CD33	19	11	73	12	6	6
Early B and T Cell	CD38	61	24	11			
T Cell	CD3	46	18	82	57	14	26
	CD4	39	15	45	45	11	19
	CD8	20	8	46	30	7	19
	TcR $\alpha\beta$	46	13	11	62	20	4
	TcR $\gamma\delta$	3	2	2	3		1
Naive T Cell	CD45 RA	46	20	27	52	17	6
Memory T Cell	CD45 RO	30	14	21	52	8	6
B Cell	CD19	19	14	20	18		1
B Cell/NK Cell	CD16	22	9	14	20	4	2
NK Cell	CD56	5	4	23	7	4	8
MHC II	HLA-DR	19	12	25	51	30	4
	HLA-DP	24	8	5	50		1
	HLA-DQ	17		1	43		1

Table 9  
Co-expression of CD3, CD4 and CD8 (percent) on Fresh Cord  
and Adult Blood Low Density Mononuclear Cells

Antigen			Adult			Cord		
CD3	CD4	CD8	Mean	S.D.	N	Mean	S.D.	N
+			57	14	26	46	18	82
	+		45	11	19	39	15	45
		+	30	7	19	20	8	46
+	+		37	10	19	33	13	44
+		+	20	8	19	11	6	44
-	+		3	2	19	4	2	43
-		+	7	4	19	8	6	44
	+	+	5	4	19	4	2	44
	-	-	35	13	19	47	17	44

Example 5 Expression of CD3, CD4 and CD8 on Cord Blood LDMNC During  
Culture with CM/P

Umbilical cord blood LDMNC were prepared as described in Example  
1C and cultured in HCBM-2 containing 5% CM and 5% P as described in Example

1D. At each passage cells were stained with fluorescent-labeled antibodies specific for the T cell surface antigens CD3, CD4 and CD8 (Table 7), and were analyzed using a Coulter Epics Elite™ fluorescence-activated cell sorter (FACS). As indicated in Table 8, approximately 46% of the starting population of cells was CD3+, 39% was CD4+ and 20% was CD8+. Figure 4 shows cord blood LDMNC cultured in CM/P over time. The percentages of CD3+, CD4+ and CD8+ cells at the outset are similar to those in Table 8. Within 5 days of culture in HCBM-2 containing 5% CM and 5% P, greater than 90% of the cells were CD3+, and the proportion of CD3+ cells remained elevated for the remainder of the culture. The percent of CD4+ T cells increased to 80% within 5 days of culture, while the percent of CD8+ T cells did not increase (~18%). CD4+ T cells continued to be the predominate cell phenotype in the culture until approximately day 14, at which point the proportion of CD8+ cells began to increase and the proportion of CD4+ T cells began to decrease. Thereafter, CD8+ T cells remained the dominant cell phenotype. Most of these cells continued to co-express CD3.

Example 6 Expression of CD3, CD4 and CD8 on Adult Blood Low Density Mononuclear Cells During Culture with CM

Adult peripheral blood LDMNC were prepared and cultured in HCBM-2 containing 5% CM as described in Example 2A. At each passage, the cells were stained with fluorescent-labeled antibodies as described above. As indicated in Table 8, approximately 57% of the starting population of cells was CD3+, 45% was CD4+ and 30% was CD8+. Figure 5 shows adult blood LDMNC cultured in CM over time. The percentages of CD4+ and CD8+ cells at the outset are similar to those in Table 8. Within 5 days of culture in HCBM-2 containing 5% CM, greater than 80% of the adult cells were CD3+, and the proportion of CD3+ cells remained elevated for the remainder of the culture. The percent of CD4+ T cells increased to 67% within 5 days of culture, while the percent of CD8+ T cells increased slightly (~34%). Similar to the pattern found with the umbilical cord LDMNC, the CD4+ T cells continued to be

the predominate cell phenotype in the adult cell culture until approximately day 14, when the proportion of CD8+ cells began to increase substantially and the proportion of CD4+ T cells decreased. Thereafter, CD8+ T cells remained the dominant cell phenotype. Most of these cells continued to co-express CD3.

5      **Example 7    Expansion and Phenotype of Enriched T Cell Subsets Cultured in CM and CM/P**

Umbilical cord blood LDMNC were prepared as described in Example 1C, following which the CD4+ T cell and the CD8+ T cell subsets were enriched using a negative selection, immunomagnetic affinity technique (Stem Cell  
10      Technologies, Vancouver, BC). The CD4-enriched populations routinely contained >90% CD4+ T cells while the CD8-enriched populations routinely contained >80% CD8+ T cells. Enriched subsets were expanded with CM or CM/P as described in Example 1D before and after recombination of the enriched T cell subsets. Overall expansion of the cells was similar regardless of whether the starting population  
15      consisted of unfractionated LDMNC, CD4-enriched cells, CD8-enriched cells, or recombined CD4-enriched and CD8-enriched cells (Figure 9).

The phenotype of the expanded cells was determined as described in Example 5. In general and as expected, CD4-enriched T cells cultured with CM yielded CD4+ cells. While CD4-enriched cells cultured with CM/P also yielded  
20      mainly CD4+ cells, TcR $\gamma\delta$ + cells and CD56+/CD3+ cells were also produced later in culture (Figure 7a). Similarly, CD8-enriched cells cultured in CM yielded mainly CD8+ cells while CD8-enriched cells cultured with CM/P yielded mainly CD8+ cells, but also yielded these additional populations (Figure 7b). Unfractionated LDMNC (Figure 7c) and recombined CD4-enriched and CD8-enriched cells (Figure 7d) gave  
25      the expected pattern of predominantly CD4+ cells in early phase of the culture and predominantly CD8+ cells in the later phase of the culture. The addition of umbilical cord blood plasma enhanced the switch from a CD4 phenotype to a CD8 phenotype and increased the expansion of the TcR $\gamma\delta$ + cells and CD56+/CD3+ cells.



CD4+ T cells and CD8+ T cells were enriched from adult peripheral blood and expanded as described above with very similar results. Figure 8 shows the expansion and Figures 9a-9d show the phenotype of adult peripheral blood T cell subsets cultured with CM and CM/P.

5                   The results indicate that very large numbers of relatively pure CD4+ T cells and CD8+ T cells can be obtained from either umbilical cord blood or adult peripheral blood in a very short period of time by culturing the enriched subsets with CM and that additional populations of cells can be obtained by manipulation of culture conditions.

#### 10   Example 8   Expansion of TcR $\alpha\beta$ + Cells and TcR $\gamma\delta$ + Cells with CM/P

Umbilical cord blood LDMNC were prepared as described in Example 1C and expanded as described in Example 1D using 5% CM and 5% P. At each time point, the expression of the T cell receptor (TcR) was examined by FACS analysis as described in Example 4 using fluorescence-labeled monoclonal antibodies specific for  
15   the alpha-beta TcR (TcR $\alpha\beta$ ) or the gamma-delta TcR (TcR $\gamma\delta$ ). Although the majority of the T cells expressed TcR $\alpha\beta$  throughout the culture, a significant portion (about 18%) of the T cells expressed TcR $\gamma\delta$  in the later stages of the culture (Figure 10). The presence and proportion of TcR $\gamma\delta$ + T cells varied between different experiments when unfractionated LDMNC were expanded. However, when CD4-  
20   enriched T cells (Example 7, Figure 7a, Figure 9a) were cultured in CM/P, the proportion of TcR $\gamma\delta$ + T cells was reproducibly increased (28-64%) (Table 10).

Table 10  
Expression of TcR $\gamma\delta$

Exp.	Cell Source	Enrichment	Expansion	Percent TcR $\gamma\delta$ +	Time
25   1	Adult	CD4	CM/P	28	23 days
2	Adult	CD4	CM/P	53	23 days
3	Adult	CD4	CM/P	64	27 days
4	Cord	CD4	CM/P	46	37 days

30   Example 9   T Cell Receptor V $\beta$  Gene usage by T Cells Expanded with CM and CM/P

Adult peripheral blood LDMNC were prepared as described in Example 2 and cultured with 5% CM for 8 days. This time point represents the CD4-dominant stage (Example 6, Figure 5). Cells were lysed and mRNA was isolated and used to prepare cDNA. cDNA was amplified by polymerase chain reaction (PCR) using  
5 primers specific for each of the 24 families of the T cell receptor V $\beta$  chain. Agarose gel electrophoretic analysis of the PCR products revealed that all 24 V $\beta$  genes were present (Figure 11). These results indicate that the CD4+ T cells expanded from LDMNC with CM are polyclonal.

Similarly, adult peripheral blood LDMNC were cultured with CM/P for  
10 25 days. This time point represents the CD8-dominant stage (Example 6, Figure 5). V $\beta$  analysis again revealed the presence of all 24 V $\beta$  genes (Figure 12). These results indicate that the CD8+ T cells expanded from the LDMNC with CM/P are also polyclonal.

#### Example 10 Characterization of Expanded T Cells

Figure 13a shows the expression of the CD45 isoforms RA and RO on  
15 umbilical cord blood lymphocytes during culture with CM/P. Prior to culture, approximately 24% of the cells express the naive T cell marker CD45RA, while 31% express the memory T cell marker CD45RO. Within 6 days in culture, almost all of the cells (> 90%) express the RO isoform, and this phenotype is maintained  
20 throughout the culture. By contrast, the majority (> 90%) of umbilical cord blood mononuclear cells cultured with IL-2/P express the naive RA phenotype (Figure 13b).

Figure 14a shows the expression of the class II major histocompatibility (MHC) antigens, HLA-DP, HLA-DQ and HLA-DR, on umbilical cord blood lymphocytes during culture with CM/P. Expression of class II MHC molecules on T  
25 cells is indicative of an enhanced activation state. Thus, these results indicate a progressive increase in the proportion of T cells that become activated during culture with CM/P. By contrast, umbilical cord blood mononuclear cells cultured with IL-2/P do not express HLA-DR (Figure 14b).

Figure 15 shows the expression of CD25 and CD69 on umbilical cord blood lymphocytes during culture with CM/P. Neither CD25 nor CD69 are expressed on resting or naive T cells. CD25 is a component polypeptide chain of the high affinity IL-2 receptor (IL-2R $\alpha$  chain) and its expression on T cells is associated with activation. The proportion of cells expressing CD25 rises quickly at the beginning of the cultures and then decreases during the phase at which the culture switches from a CD4-dominated to a CD8-dominated culture. CD25 expression increases again thereafter. CD69, known as the activation inducer molecule, is also associated with early T cell activation. The proportion of cells expressing CD69 increases more gradually throughout the culture.

#### Example 11 Expansion of Distinct Lymphocyte Subsets with CM

##### A. Expansion of NK Cells

Figure 16a shows the expression of CD56 and CD16 on umbilical cord blood lymphocytes during culture with CM/P. After 8 days, a significant proportion of cells express the NK (natural killer) cell phenotype CD56+/CD16+ (Figure 16b). Thus, this technique of expanding blood cells with CM is also useful for expanding NK cells.

##### B. Expansion of CD8+, CD3+, TcR $\alpha\beta$ +, CD56+ and CD16- Cells

Figure 17 shows the expression of the T cell markers CD3, CD8 and TcR $\alpha\beta$ , and the NK cell markers CD56 and CD16, on umbilical cord blood lymphocytes during culture with CM/P. The increase in the proportion of CD8+ T cells during the later stage of the culture is accompanied by an increase in the expression of CD56, but not a concomitant increase in the expression of CD16. Thus, this techniques of expanding blood cells with CM is also useful for expanding a subset of T cells bearing the phenotype CD8+, CD3+, TcR $\alpha\beta$ +, CD56+ and CD16-.

##### C. Expansion of Double-Positive and Double-Negative Cells

Figures 18 and 19 show the expression of CD4 and CD8 on adult blood LDMNC during culture with CM and CM/P, respectively. As shown in Figure 18, the

percentage of CD4+ cells increased rapidly from 45.2%, maintaining a weighted percentage of 61.9% over days 5 through 16, after which the percentage fell to a weighted average of 27.3% over days 21 through 35. The average percentage of CD8+ cells on fresh LDMNC was 29.6%. The percentage of CD8+ cells was maintained at a weighted average of 34.2% over days 5 through 16, after which the percentage rapidly increased to a weighted average of 65.6% over days 21 through 35. The average percentage of CD4+/CD8+ cells over time was maintained in the range 4.0% to 8.7% indicating growth at a constant rate. The initial percentage of CD4-/CD8- cells was 35.3% which includes non-T cells. The average percentage over days 5 through 26 was maintained in the range 8.4% to 12.0%. Days 29 and 35 had averages of 17.2% and 16.4%, respectively.

As shown in Figure 19, the percentage of CD4+ cells increased rapidly from 45.2%, maintaining a weighted percentage of 75.2% over days 5 through 8, dropping to a weighted average percentage of 54.7% over days 14 through 16. The average percentage of CD4+ cells fell to a weighted average of 10.7% over days 21 through 35. The percentage of CD8+ cells on fresh LDMNC was 29.6%. The percentage of CD8+ cells was maintained at a weighted average of 25.0% over days 5 and 8, increasing to a weighted average percentage of 48.3% over days 14 and 16. The percentage of CD8+ cells rapidly increased to a weighted average of 89.1% over days 21 through 35. The average percentage of CD4+/CD8+ cells over time was maintained in the range 4.2% to 7.8% indicating growth at a constant rate. The initial percentage of CD4-/CD8- cells was 35.3% which includes non-T cells. The average percentage over days 5 through 35 was maintained in the range 4.0% to 7.5%.

In both cultures, the usual pattern of CD4+ and CD8+ T cell growth was obtained. A significant proportion of T cells throughout both cultures were CD3+ and co-express CD4 and CD8, or lack both CD4 and CD8. These T cells are called double-positive and double-negative T cells, respectively, and are characteristic of immature T cells that are usually present only within the thymus. The maintenance of double-positive and double-negative T cells in the presence of significant overall cell

expansion indicates that these immature T cell subsets also proliferate. Thus, the technique of expanding cells with CM is useful for expanding double-positive and double-negative T cells.

#### Example 12 Expansion of Antigen-specific Mouse T Cells with CM

5 SJL mice were immunized with 500  $\mu$ g of guinea pig myelin basic protein (MBP) emulsified in Freund's Complete Adjuvant (FCA). Ten days later, cells obtained from the draining lymph nodes (LNC) of five mice were pooled and incubated at a concentration of  $5 \times 10^6$  cells/ml in the presence of 50  $\mu$ g/ml MBP antigen for ten days. LNC were washed twice with media and tested for antigen  
10 specificity. One  $\times 10^5$  LNC were added to  $1 \times 10^6$  syngeneic, mitomycin C-treated, antigen presenting cells in the presence or absence of 50  $\mu$ g/ml of MBP antigen. Proliferation was measured 5 days later by  $^3$ H-thymidine incorporation into DNA. Significant proliferation occurred only when MBP antigen was included (Figure 20a), indicating the presence of MBP-specific T cells (M-LNC). M-LNC were cultured  
15 with 5% CM for 14 days resulting in a 738-fold expansion. At this time, antigen specificity was re-tested as described above. Again, proliferation occurred only in the presence of MBP (Figure 20b). These results indicate that antigen specific T cells can be expanded with CM and that the expanded cells maintain their antigen specificity.

Other embodiments and uses of the invention will be apparent to those  
20 skilled in the art from consideration of the specification and practice of the invention disclosed herein. All U.S. patents and patent applications, including provisional application serial number 60/037,245, and all other documents referenced herein, for whatever reason, are specifically incorporated by reference. It is intended that the specification and examples be considered exemplary only, with the true scope and  
25 spirit of the invention being indicated by the following claims.

**We Claim:**

1. A method for producing an expanded culture of lymphocytes containing an enriched fraction of target lymphocytes, comprising the steps of:
  - a) inducing a starting cell population, selected from the group consisting of: peripheral blood cells, umbilical cord blood cells, and bone marrow cells, to produce a conditioned medium by culturing the starting cell population in a growth medium containing at least two plant-derived mitogens; and
  - b) culturing a population of lymphohematopoietic cells in the presence of a sufficient concentration of the conditioned medium and for a sufficient time to obtain an expanded population of the target lymphocytes.
2. The method of claim 1 wherein the target lymphocytes are CD4+ T cells.
3. The method of claim 1 wherein the target lymphocytes are CD8+ T cells.
4. The method of claim 1 wherein the starting cell population is peripheral blood cells, and the plant-derived mitogens are selected from the group consisting of: phytohemagglutinin, concanavalin A, mezerein, phorbol 12-myristate-13-acetate, phorbol (1-O-methyl) 12-myristate-13-acetate, phorbol (10-oxo-20-deoxy) 12-myristate-13-acetate, phorbol 12-monomyristate, phorbol 12, 13-didecanoate, phorbol 13, 13-dibutyrate, phorbol 12, 13-dibenzoate, and phorbol 12, 13-diacetate.
5. The method of claim 1 wherein the plant-derived mitogens are mezerein and concanavalin A.
6. The method of claim 4 wherein the plant-derived mitogens are mezerein and concanavalin A.
7. The method of claim 1 wherein the starting cell population is umbilical cord blood cells, the plant-derived mitogens are mezerein and concanavalin A, and culturing was carried out for about four days.
8. The method of claim 1 wherein the growth medium is a serum-free medium.
9. The method of claim 1 wherein the starting cell population is cultured for a period of 1 to 10 days to produce the conditioned medium.

10. The method of claim 1 wherein the lymphohematopoietic cells are cultured in a serum-free medium in the presence of from 1% to 10% by volume of the conditioned medium.
- 5 11. The method of claim 10 wherein the conditioned medium has a concentration of about 5% by volume in the serum-free medium.
12. The method of claim 1 wherein the lymphohematopoietic cells are cultured in a medium containing 1% to 10% by volume blood plasma and from 1% to 10% by volume of the conditioned medium.
- 10 13. The method of claim 12 wherein the blood plasma is umbilical cord blood plasma.
14. The method of claim 12 wherein the blood plasma and the conditioned medium are each present in a concentration of about 5% by volume.
- 15 15. The method of claim 1 wherein the lymphohematopoietic cells are adult human peripheral blood mononuclear cells, which cells are cultured in a serum-free medium containing about 5% by volume conditioned medium for 5 to 14 days to obtain an expanded population of CD4+ lymphocytes.
- 20 16. The method of claim 1 wherein the lymphohematopoietic cells are adult human peripheral blood mononuclear cells, which cells are cultured in a serum-free medium containing about 5% by volume conditioned medium for 14 to 35 days to obtain an expanded population of CD8+ lymphocytes.
- 25 17. The method of claim 1 wherein the lymphohematopoietic cells are umbilical cord blood cells, which cells are cultured in a medium containing about 5% by volume each of umbilical cord blood plasma and conditioned medium for 5 to 14 days to obtain an expanded population of CD4+ lymphocytes.
18. The method of claim 1 wherein the lymphohematopoietic cells are umbilical cord blood cells, which cells are cultured in a medium containing about 5% by volume each of umbilical cord blood plasma and conditioned medium for 14 to 35 days to obtain an expanded population of CD8+ lymphocytes.

19. The method of claim 1 wherein the lymphohematopoietic cells are umbilical cord blood cells, which cells are cultured in a medium containing about 5% by volume each of umbilical cord blood plasma and conditioned medium for 8 to 13 days to obtain an expanded population of CD56+ CD16+ lymphocytes.
- 5 20. The method of claim 1 wherein the lymphohematopoietic cells are umbilical cord blood cells, which cells are cultured in a medium containing about 5% by volume each of umbilical cord blood plasma and conditioned medium for 30 to 40 days to obtain an expanded population of CD8+ CD3+ TcR+ CD56+ CD16- lymphocytes.
- 10 21. A medium that is a source of selected natural (non-recombinant) cytokines and especially chemokines.
22. A component derived from mezerein-stimulated mononuclear cells with T cell promoting activity.
23. A component derived from ConA-stimulated mononuclear cells with T cell promoting activity.
- 15 24. A conditioned medium containing a plurality of component conditioned mediums
25. The conditioned medium of claim 24 wherein the component conditioned mediums comprise CM(M) and CM(C).
- 20 26. The conditioned medium of claim 25 further comprising IL-2.
27. Mononuclear cells stimulated with ConA and mezerein from cord blood or from adult blood and the cytokines produced by each different and have different applications in the expansion of selected lymphocyte populations.
28. Generation and composition of a CM that selectively produces T lymphocyte cell subsets which secrete selected cytokines such as the T helper cells subsets Th0, Th1, Th2 and Th3 and the T cytotoxic subsets Tc1 and Tc2.
- 25 29. The use of selected cytokine secreting T cells to modulate the immune system after adoptive transfer *in vivo* to promote or otherwise control a desired immune response.

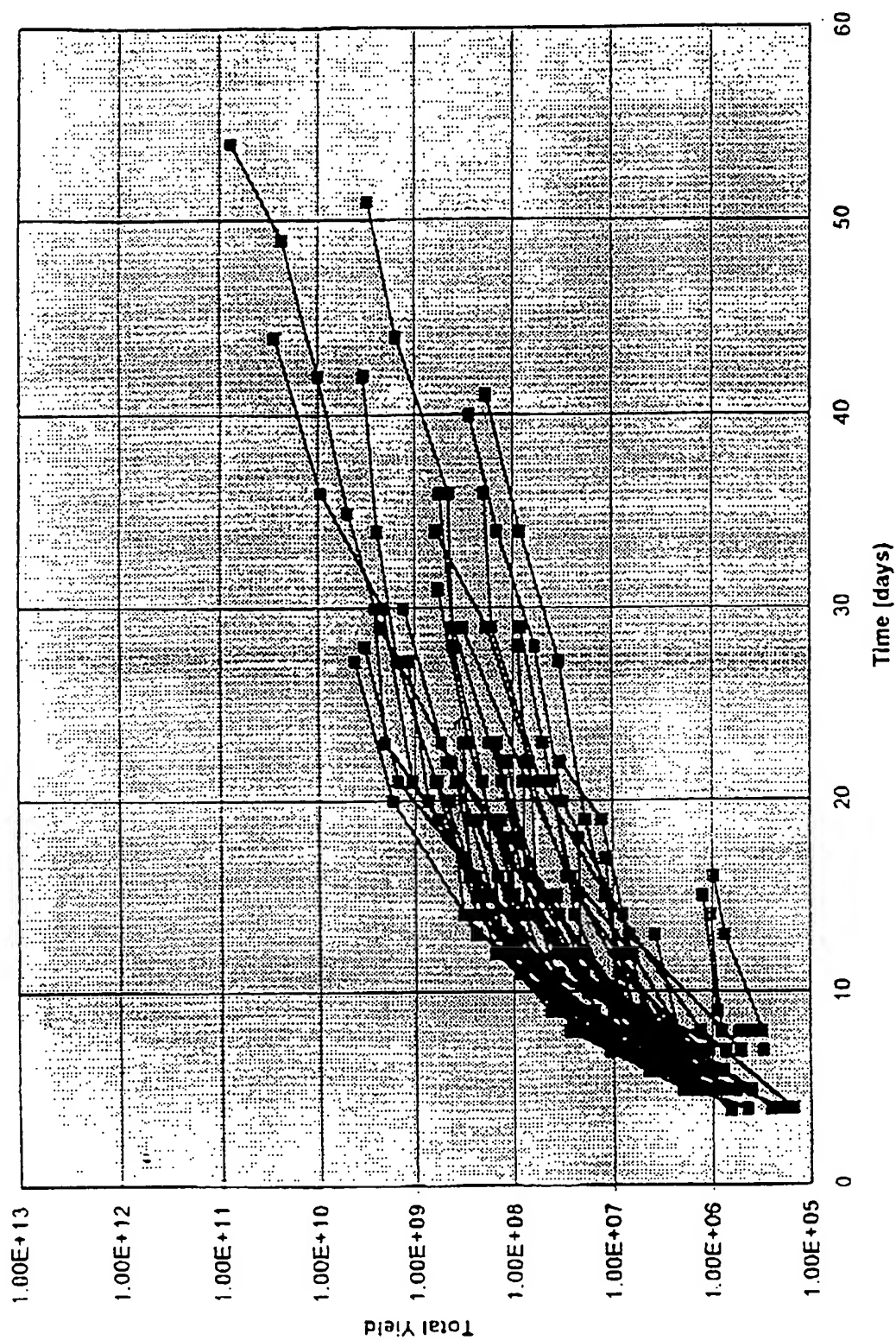


30. A CM may be used on autologous cells or allogeneic cells.
31. Expansion of CD4+ or CD8+ T cells or expansion of both in serum-free media without requiring stromal or accessory cell feeder layers.
32. Sequential expansion of CD4 and CD8 T cells and other subsets to yield a  
5 desired combination of lymphocytes from a single unenriched cell population.
33. Upstream or downstream purification of lymphocyte subsets.
34. Expansion of TcR $\gamma\delta$ + T cells.
35. Expansion of cytokine-induced killer cells: CD3+, CD8+, CD56+, TcR $\alpha\beta$ +, CD16-.
- 10 36. Expansion of natural killer cells: CD56+, CD16+.
37. Expansion of double positive T cells: CD3+, CD4+, CD8+.
38. Expansion of double negative T cell: CD3+, CD4-, CD8-.
39. Use of human plasma to control the production of selected lymphocytes.
40. Prophylactic or therapeutic use of expanded lymphocytes as cellular vaccines to  
15 prevent or treat disease.
41. Expanded cells may be used in autologous or allogeneic therapies.
42. HLA-matched allogeneic cord blood T cells for cellular therapy; may have special properties such as reduced GvHD.
43. Expansion of tumor-specific T cells from cancer patients to enrich tumour-  
20 specific killer cells and simultaneously deplete/purge tumour cells.
44. Uses of expanded T cells to treat Hodgkins disease.
45. Use of expanded T cells for graft vs. leukemia or other malignancies.
46. Use of expanded T cells for graft vs. autoimmune disease.
47. Use of expanded T cells to facilitate solid organ engraftment.
- 25 48. Use of expanded T cells to facilitate bone marrow or stem cell engraftment.
49. Use of selected T cells to induce tolerance to facilitate e.g., solid organ transplantation or treat autoimmune disease.
50. Use of expanded T cells for anti-viral effects or other infectious diseases.

51. Use of expanded T cells to treat virally-mediated cancers such as EBV-induced post transplant lymphoproliferative disorders, human papilloma virus induced cervical cancer, HLTV-1 and 2, Kaposi's sarcoma herpesvirus, hepatitis B and C.
- 5 52. Use of expanded T cells to treat adenovirus and cytomegalovirus induced diseases.
53. Use of expanded T cells to restore or augment a functional immune system which may be suppressed by drug treatment to facilitate solid organ or bone marrow transplantation or as a result of specific viral infections such as HIV-1 and 2.
- 10 54. Use of expanded T cells to restore TcR repertoire in patients infected with HIV-1 and 2; cells may be autologous or allogeneic, preferably cord blood T cells.
55. Gene transduction of selected lymphocyte populations and their therapeutic use to treat infectious disease, cancer and autoimmune disease.
- 15 56. Gene transduction of selected T cells especially CD4 T cells from HIV-1+ and 2+ patients to render the T cells resistant to infection with HIV

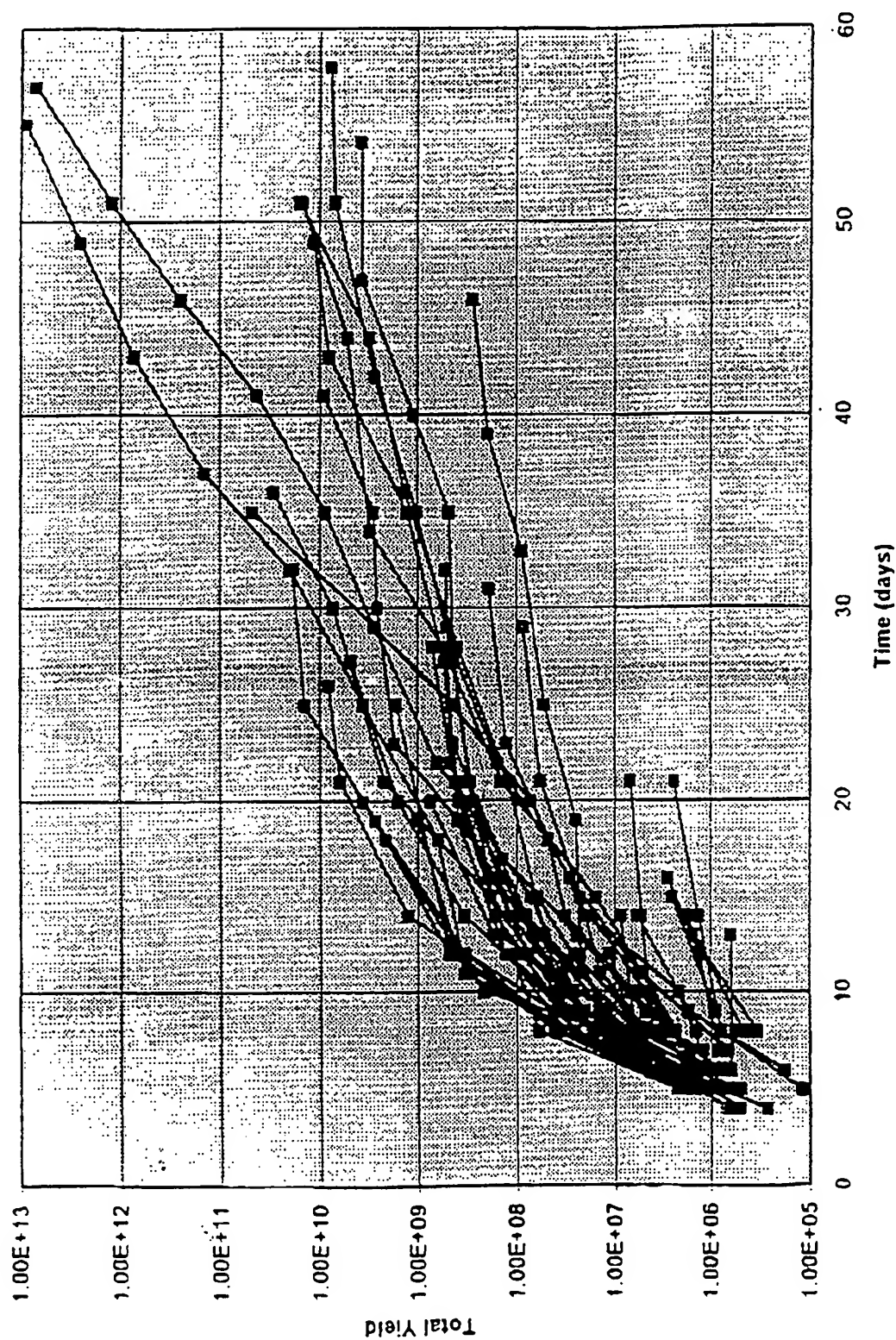
1/30

Figure 1a: Cumulative Cell Yield (Cord Blood - CM)



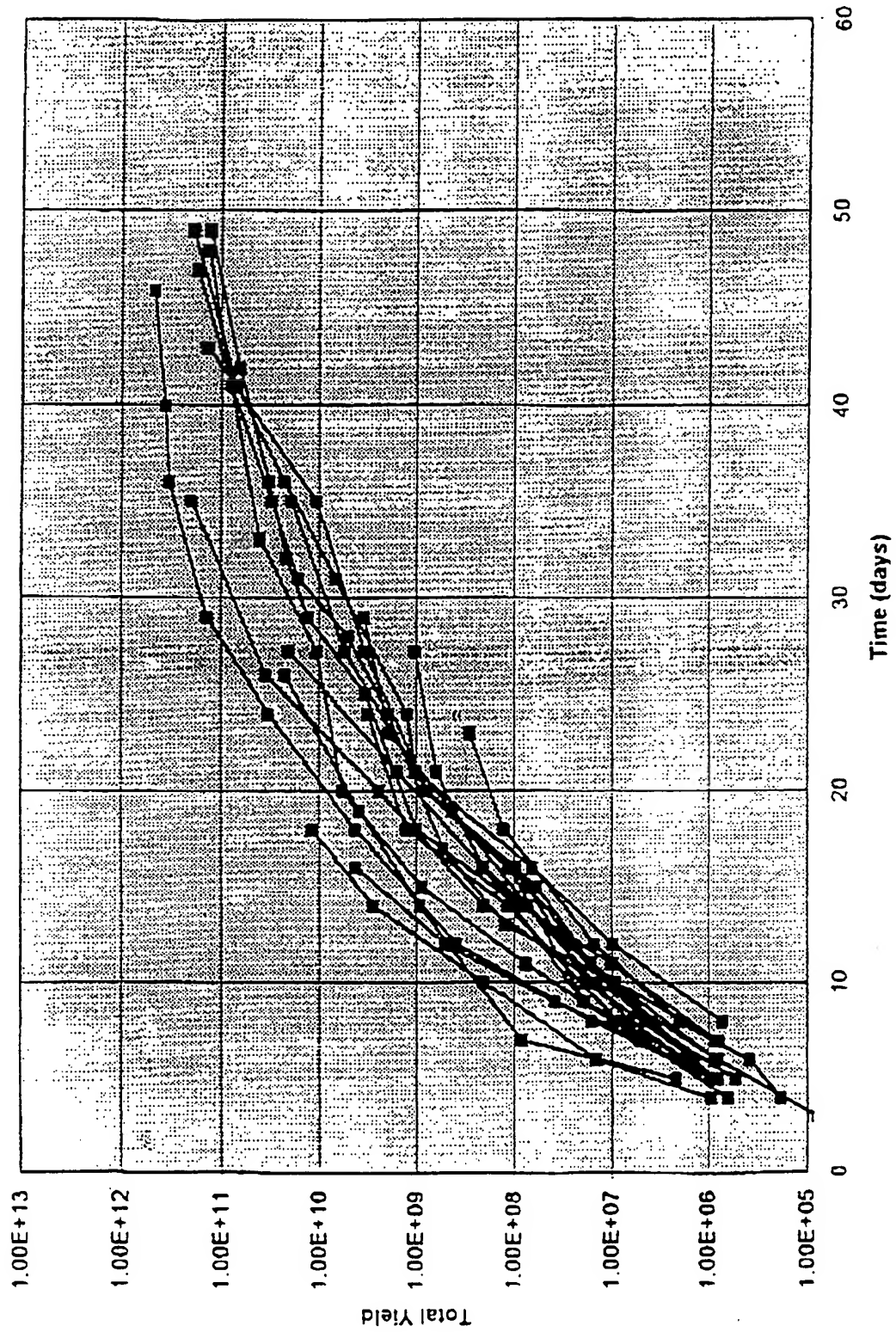
2/30

Figure 1b: Cumulative Cell Yield (Cord Blood - CM/P)



3/30

Figure 1c: Cumulative Cell Yield (Adult Blood - CM)



4/30

Figure 1d: Cumulative Cell Yield (Adult Blood - CM/P)

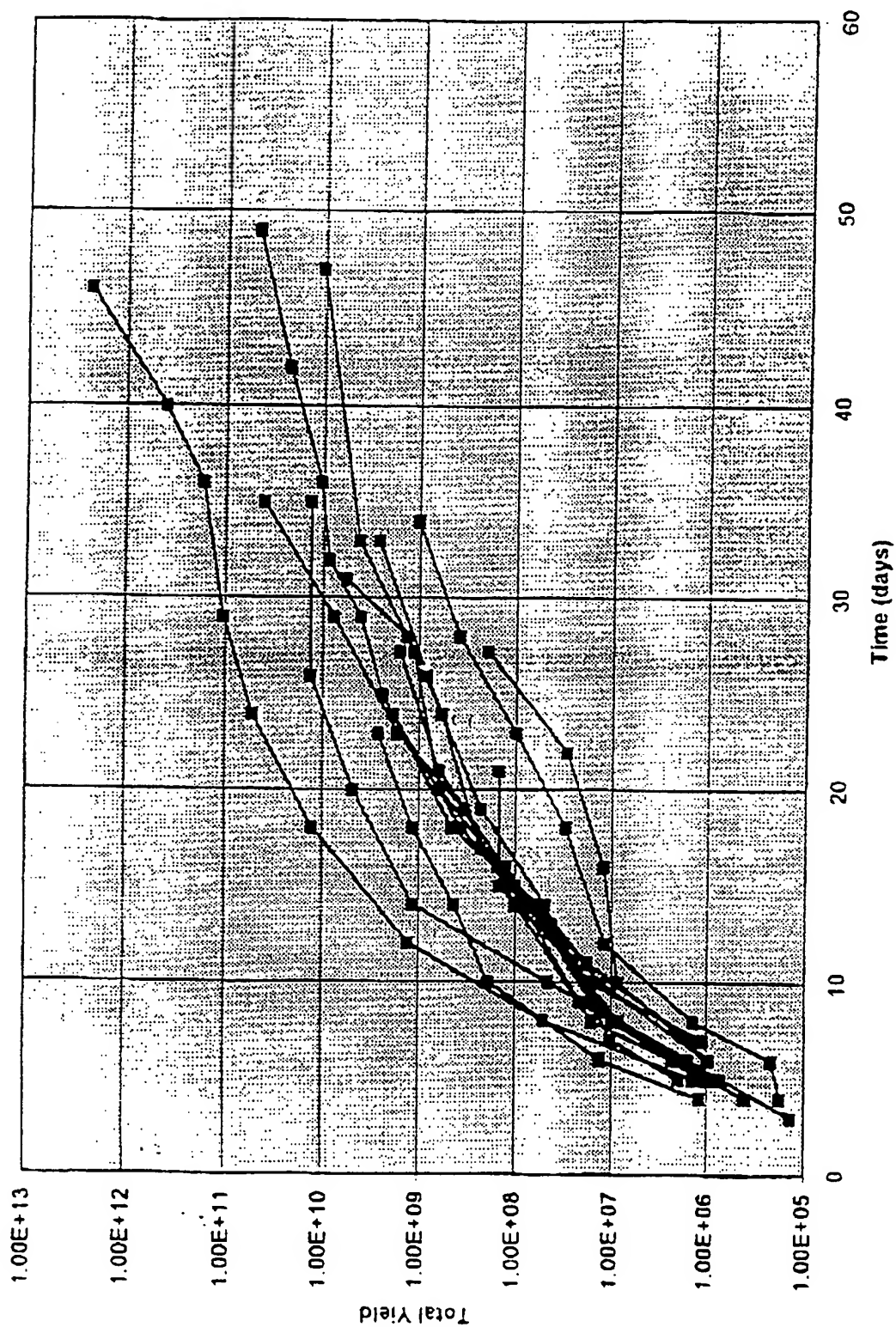
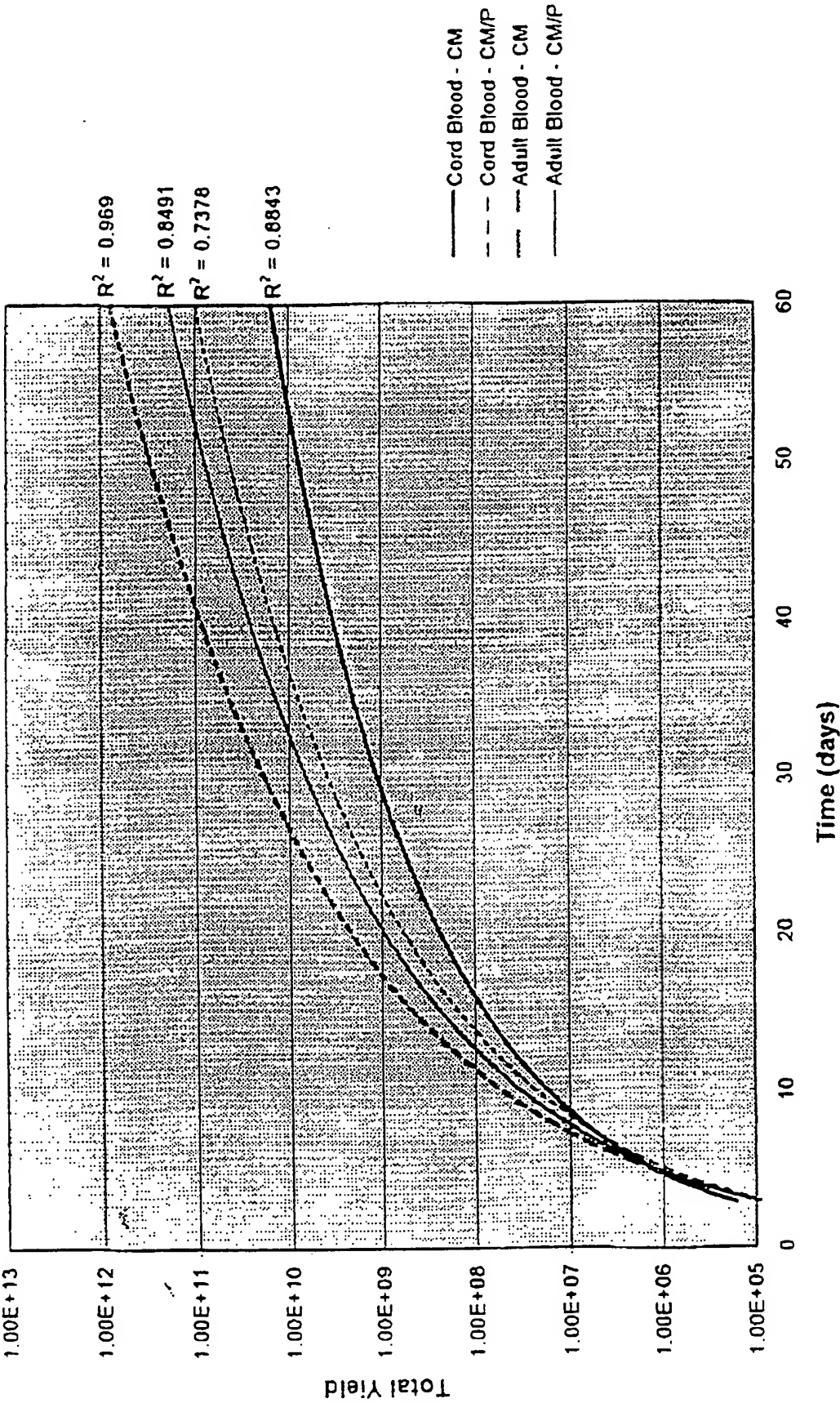


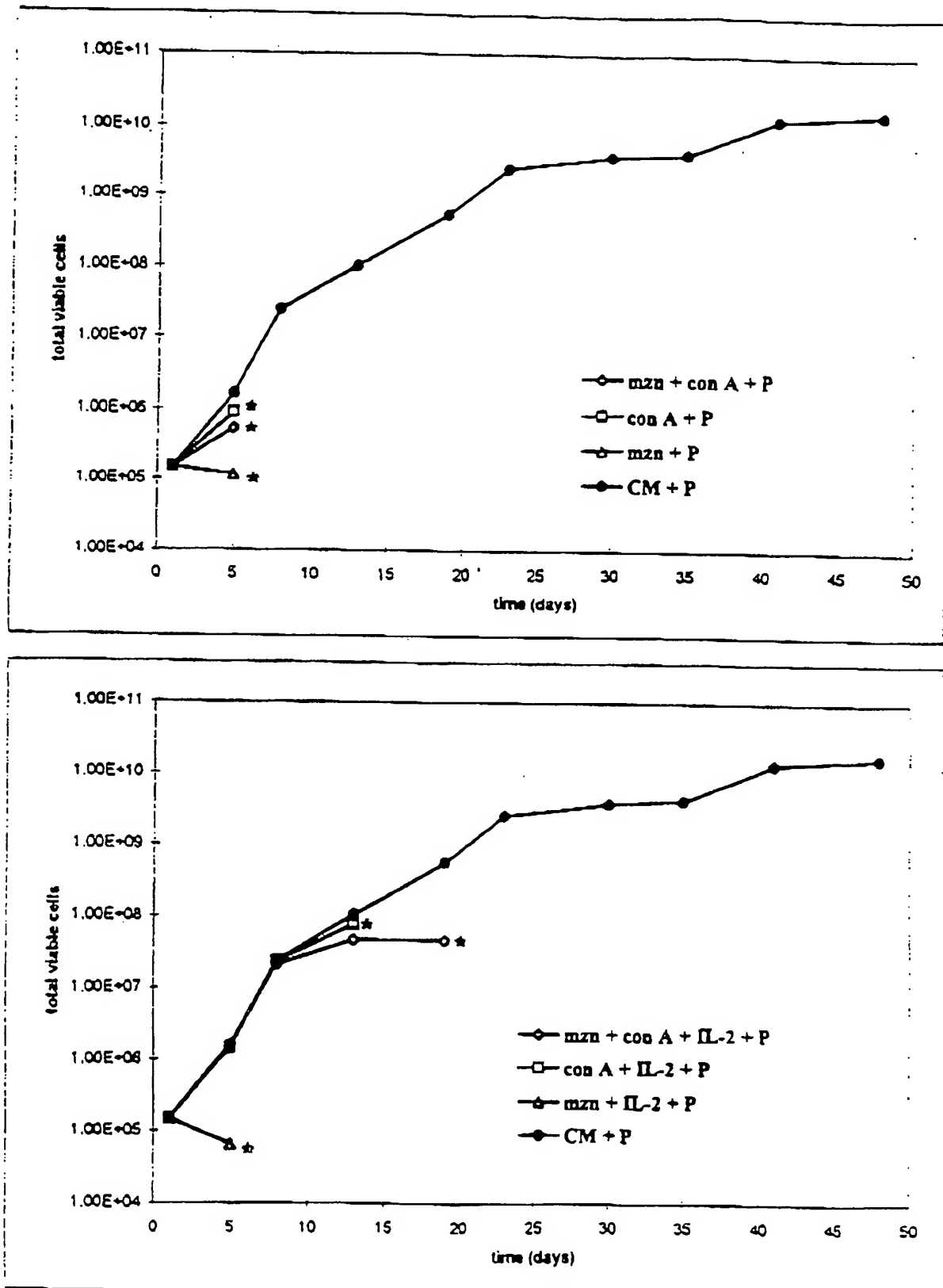


Figure 1e: Best Fit of Average Cumulative Cell Yield



6/30

Figure 2

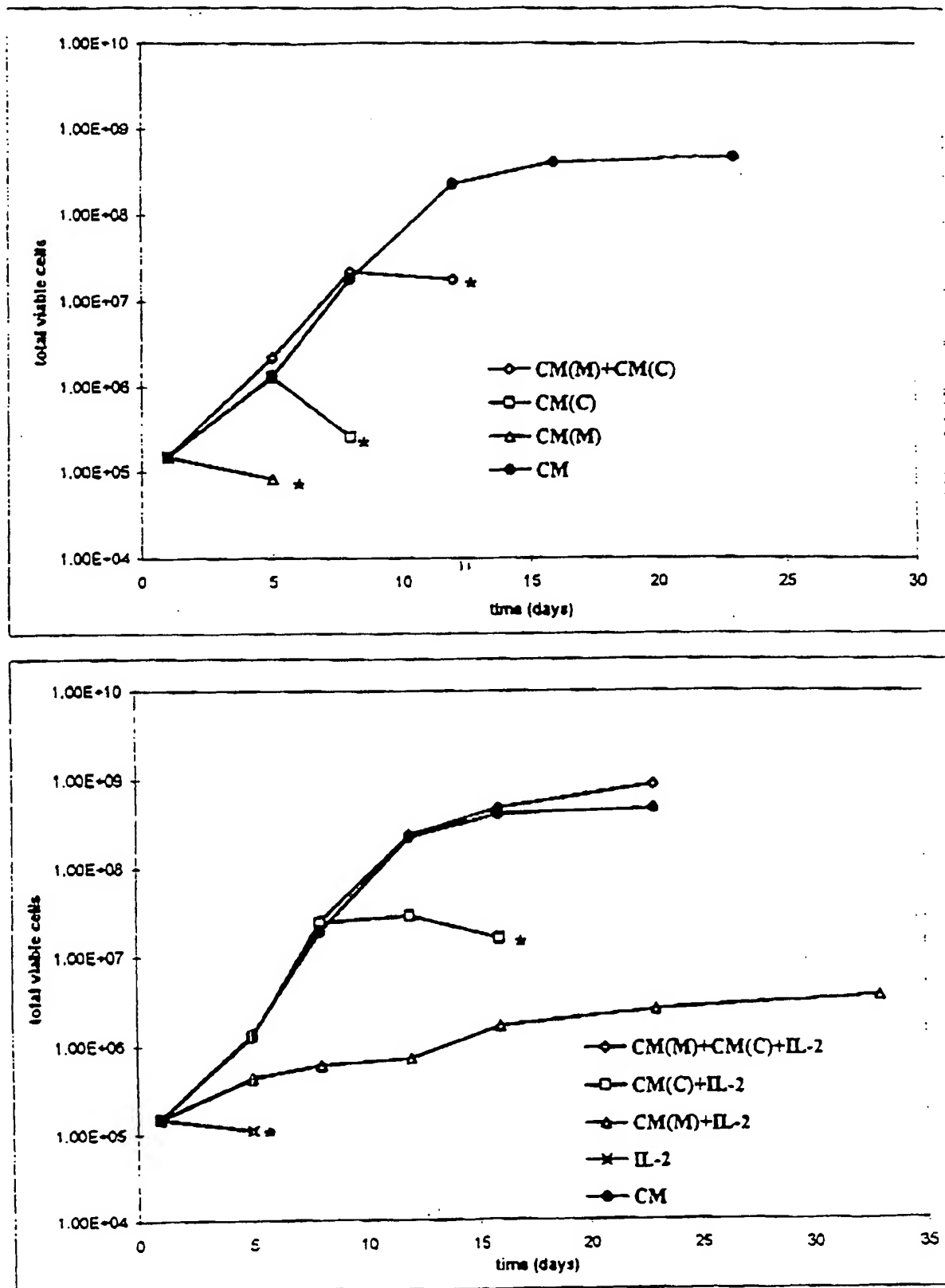


\* cultures expired



7/30

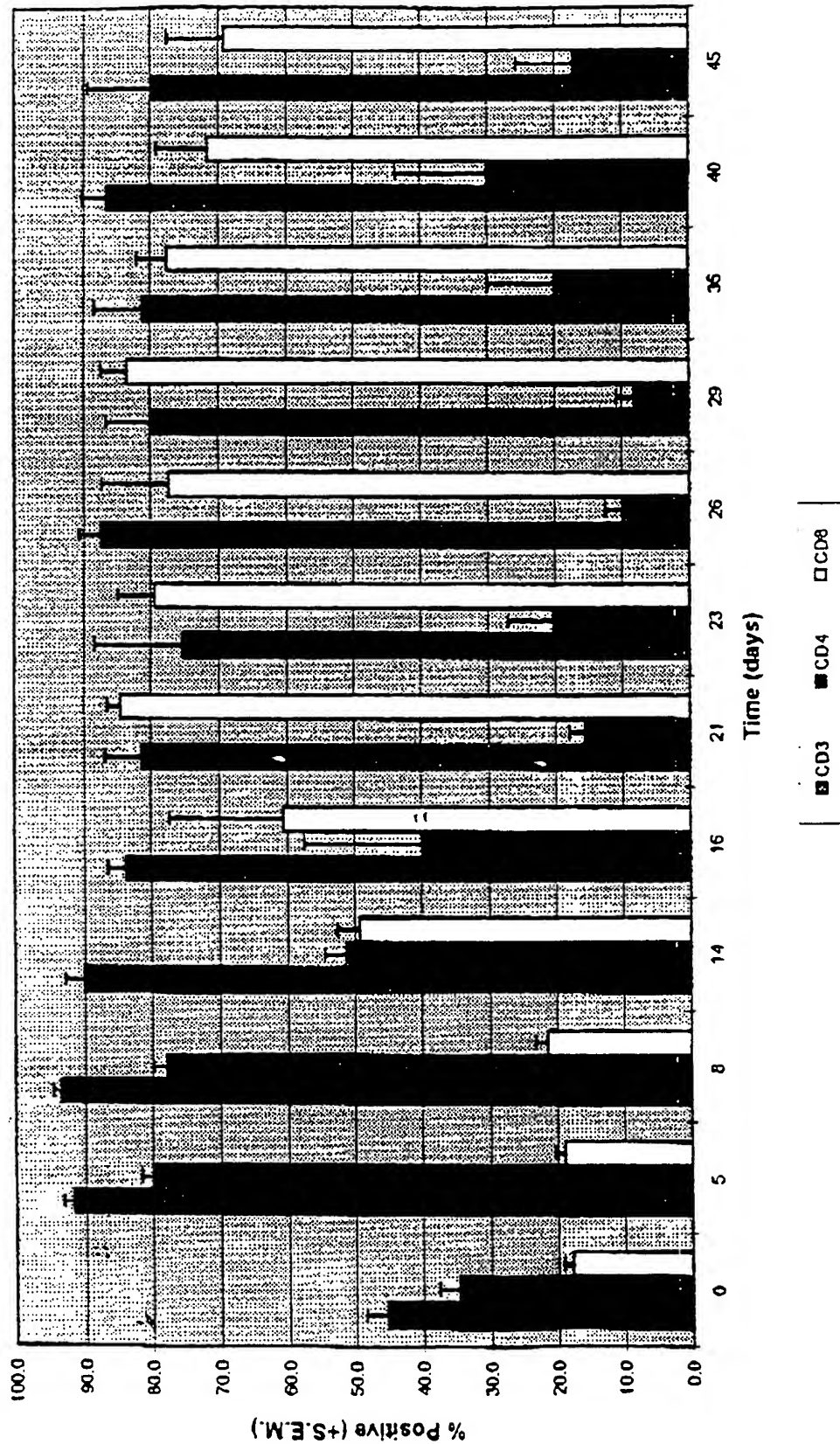
Figure 3



\* cultures expired

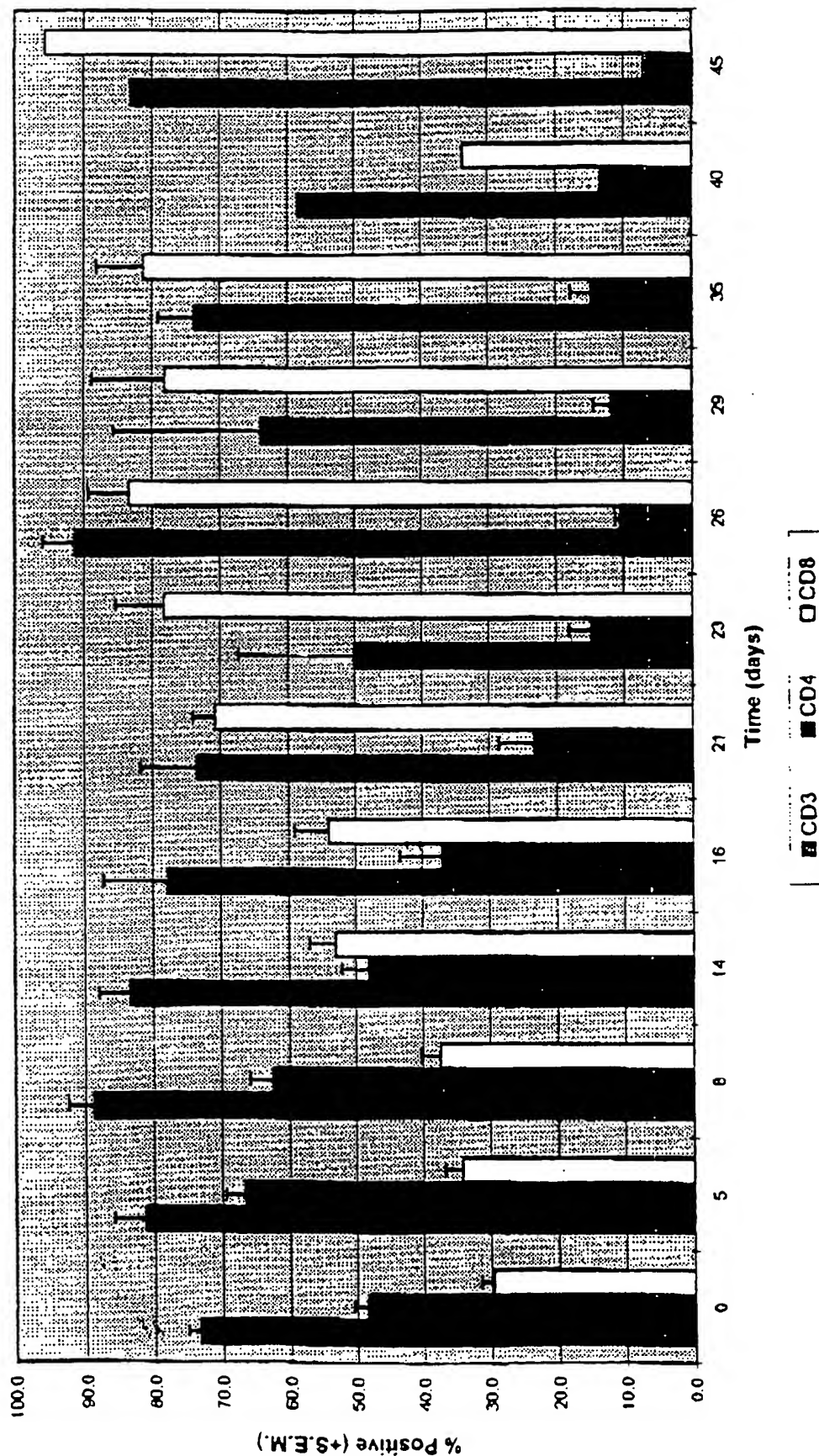
8/30

**Figure 4: Average percent of CD3+, CD4+, and CD8+ Cord Blood Cells during Culture with CM/P**

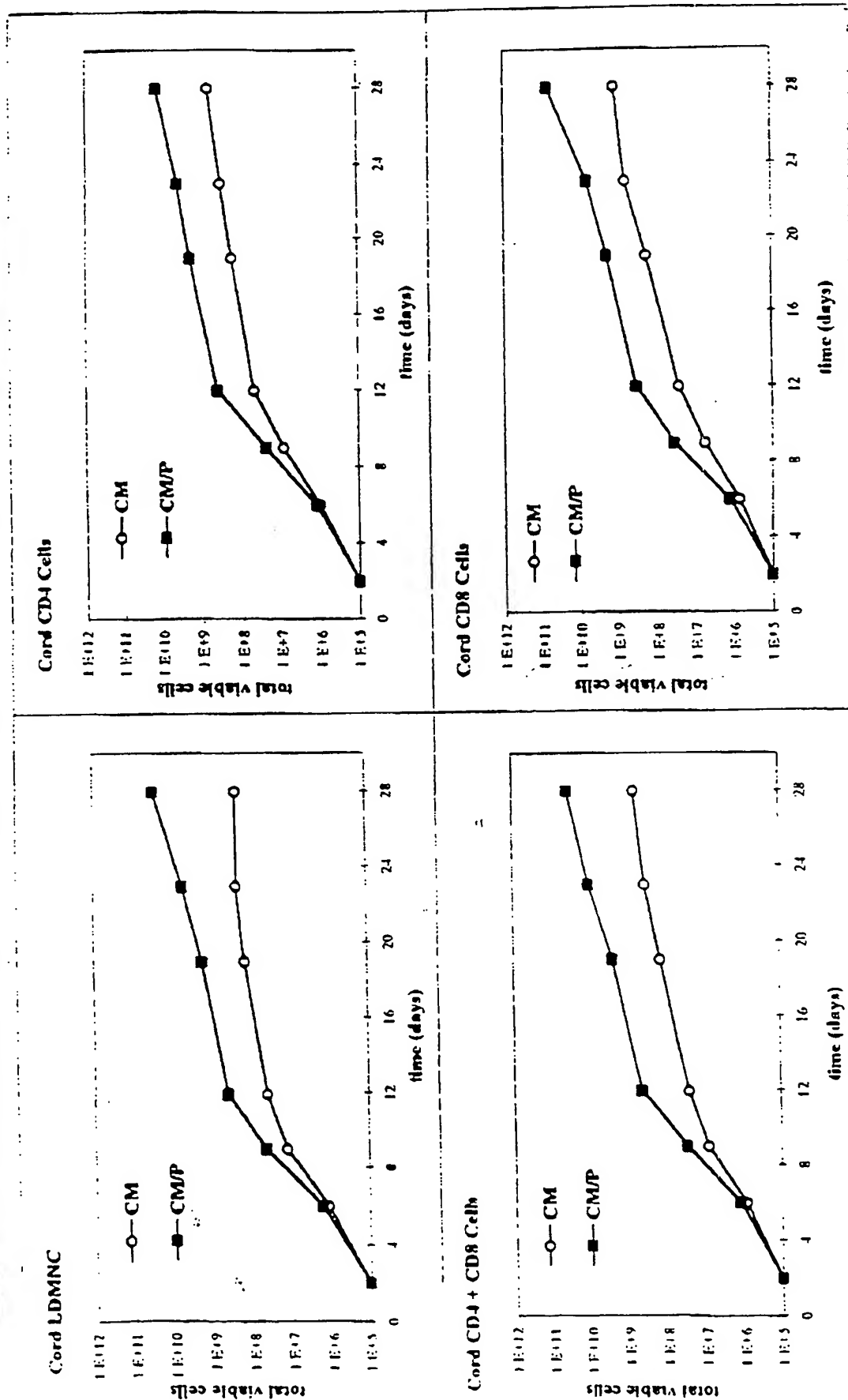


9/30

**Figure 5: Average per cent of CD3+, CD4+, and CD8+ Adult Blood Cells during Culture with CM**

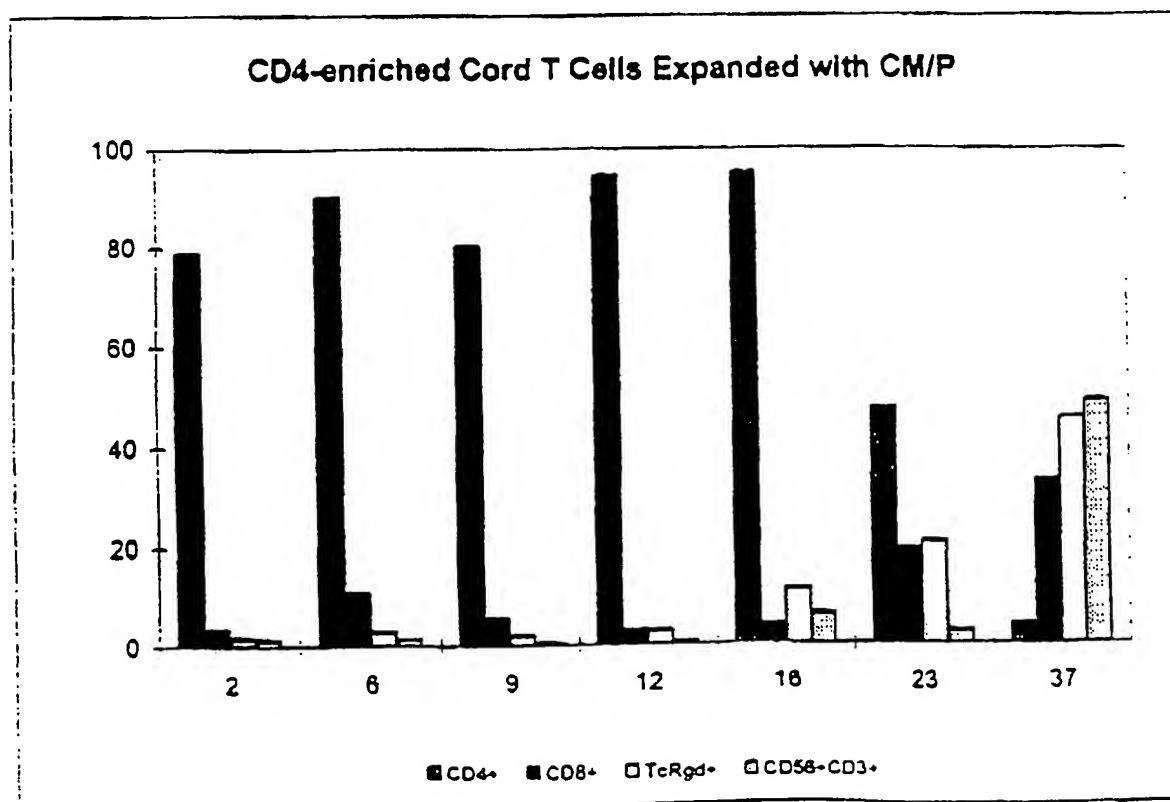
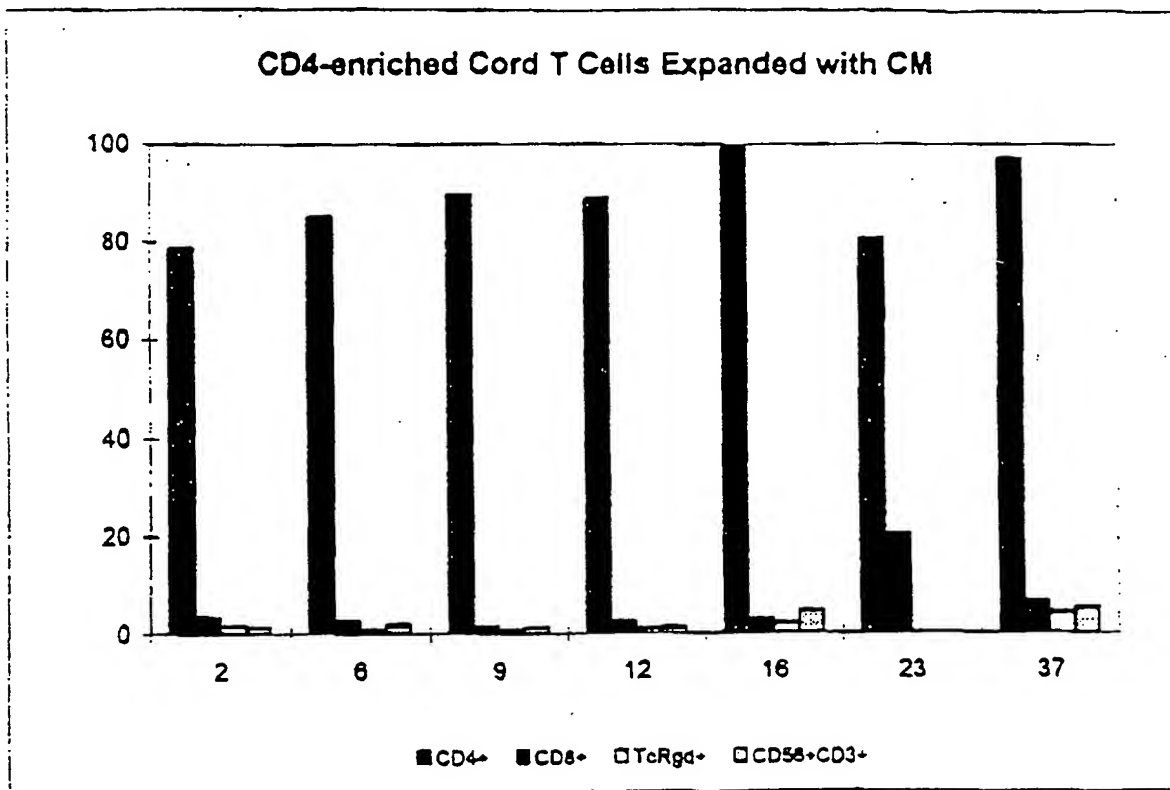


10/30

**Figure 6: Expansion of Umbilical Cord Blood T Cell Subsets with CM and CM/P**

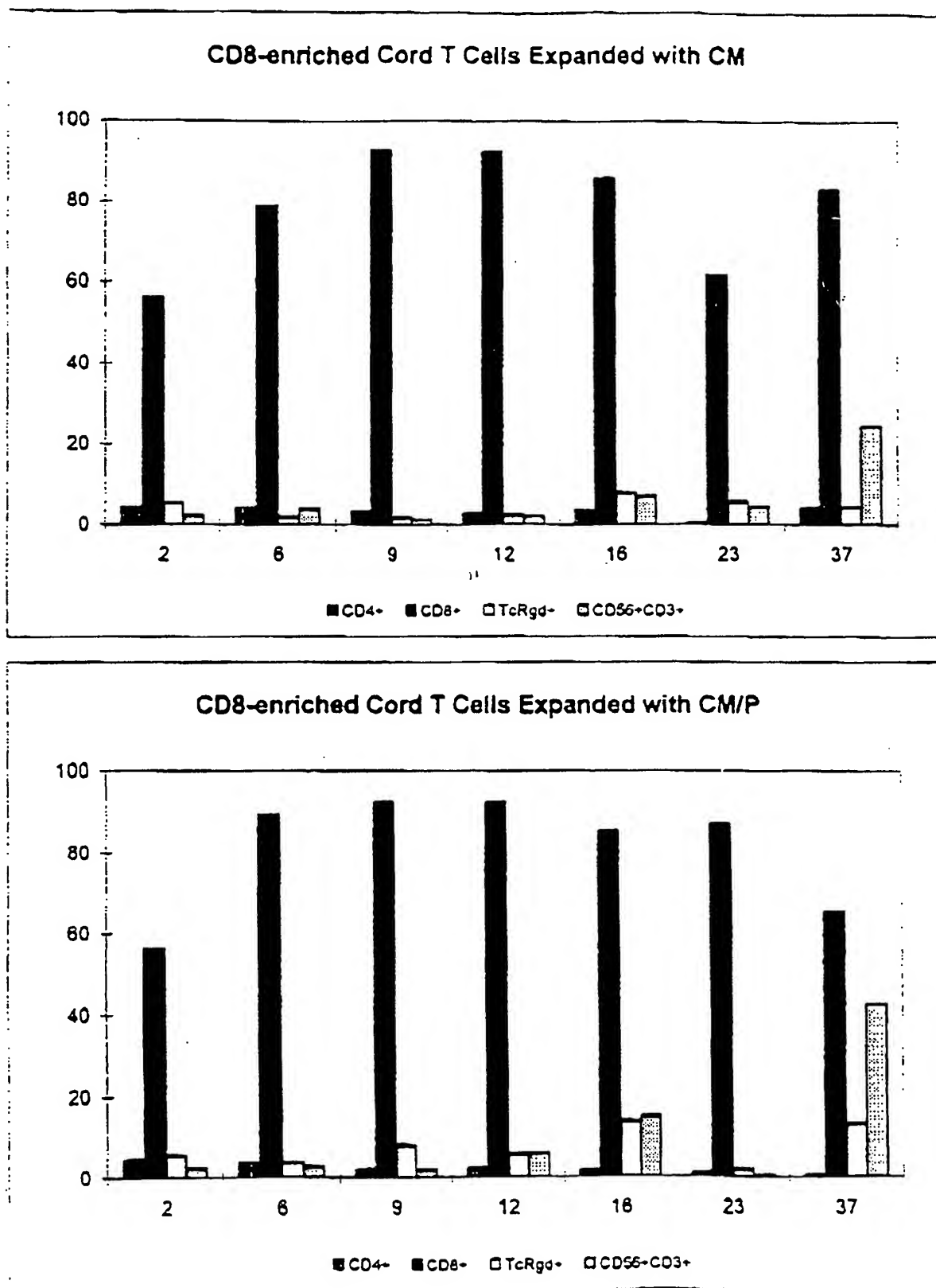
11/30

Figure 7a



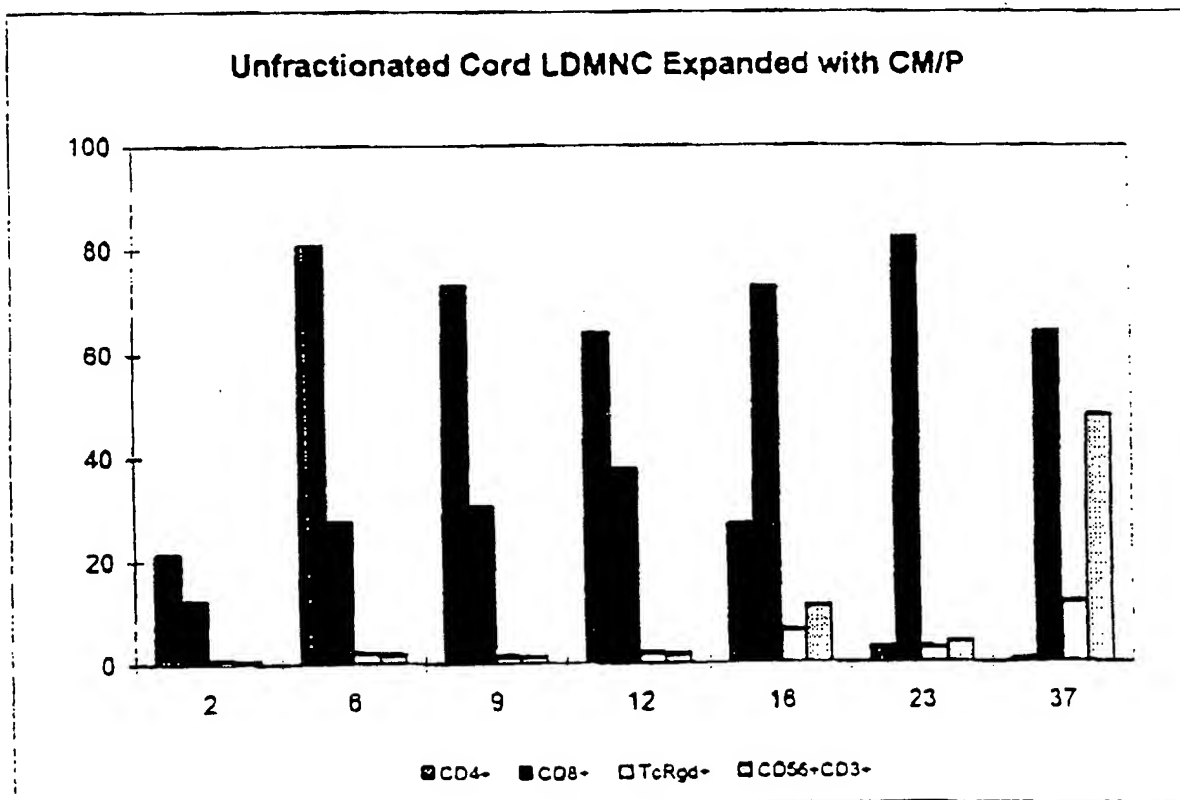
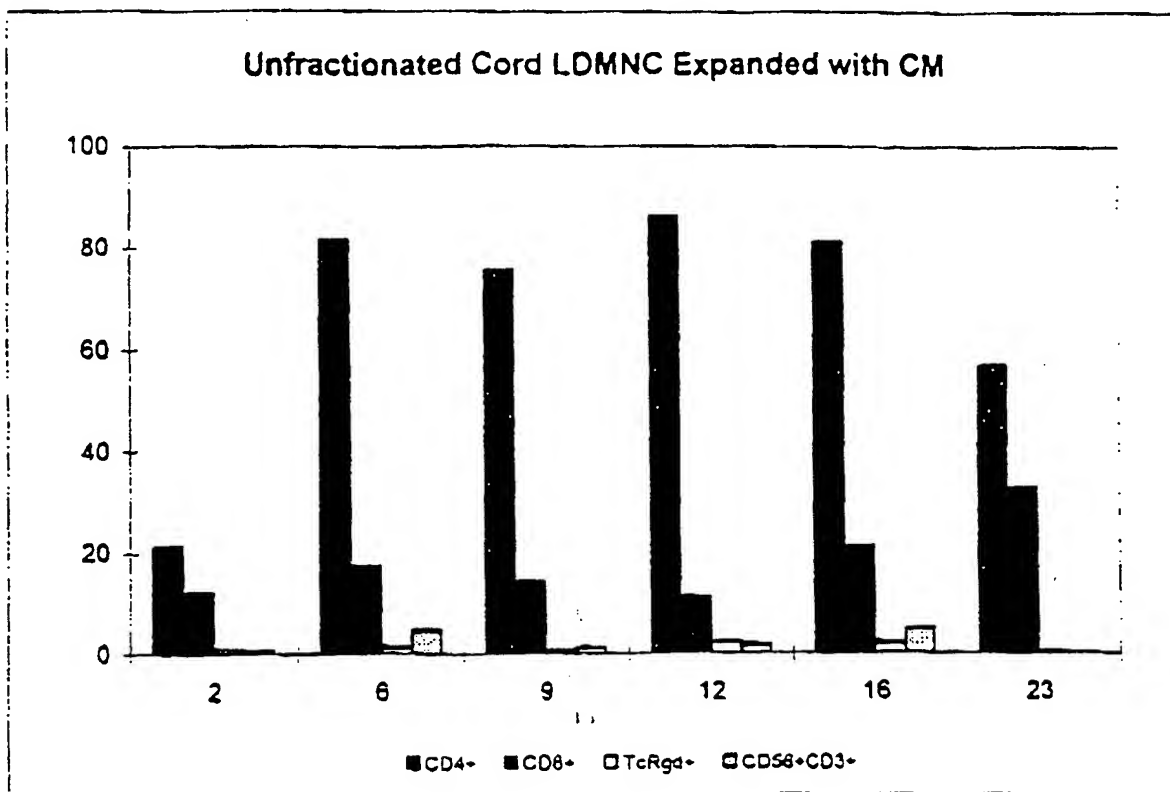
12/30

Figure 7b



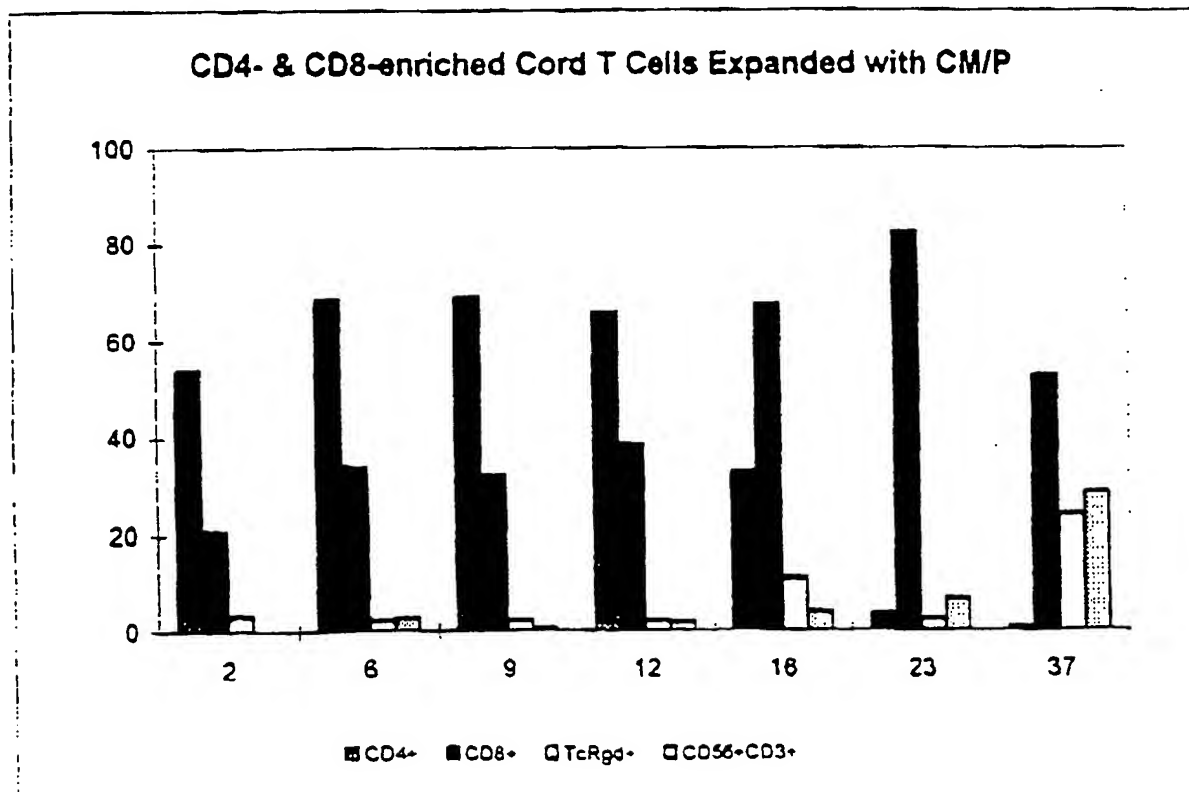
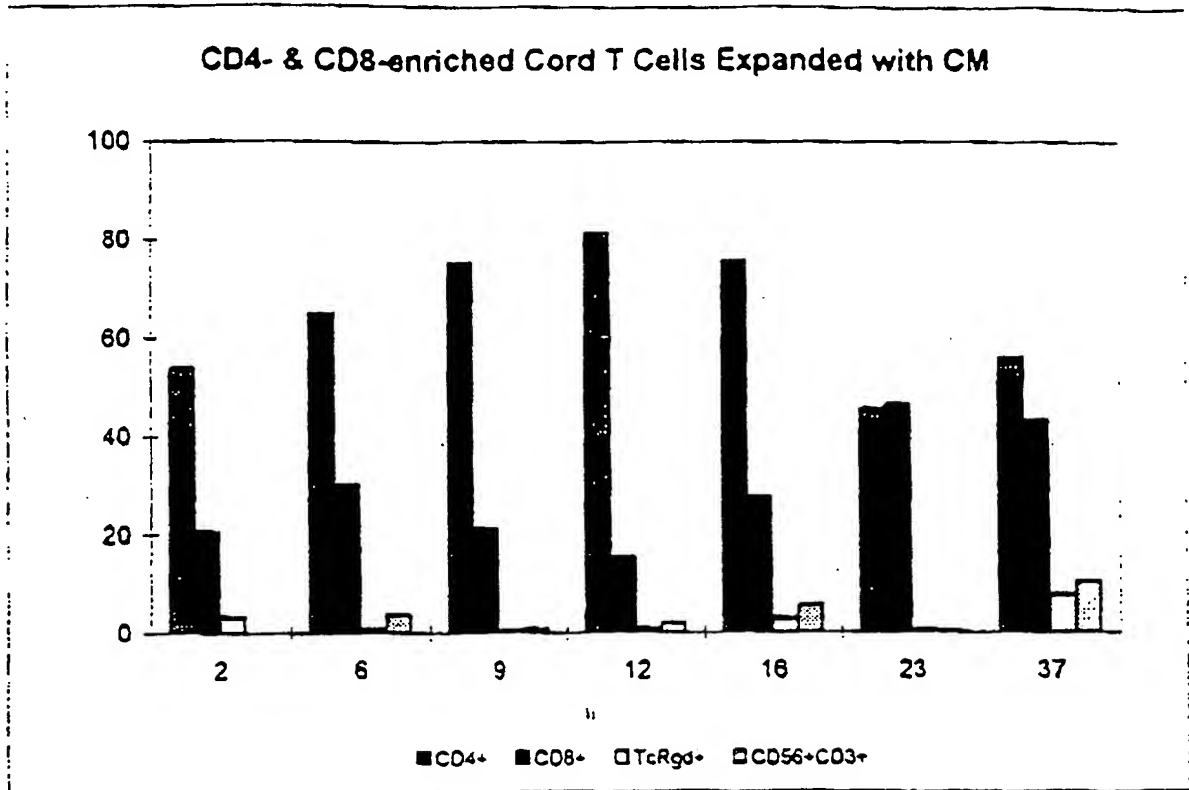
13/30

Figure 7c



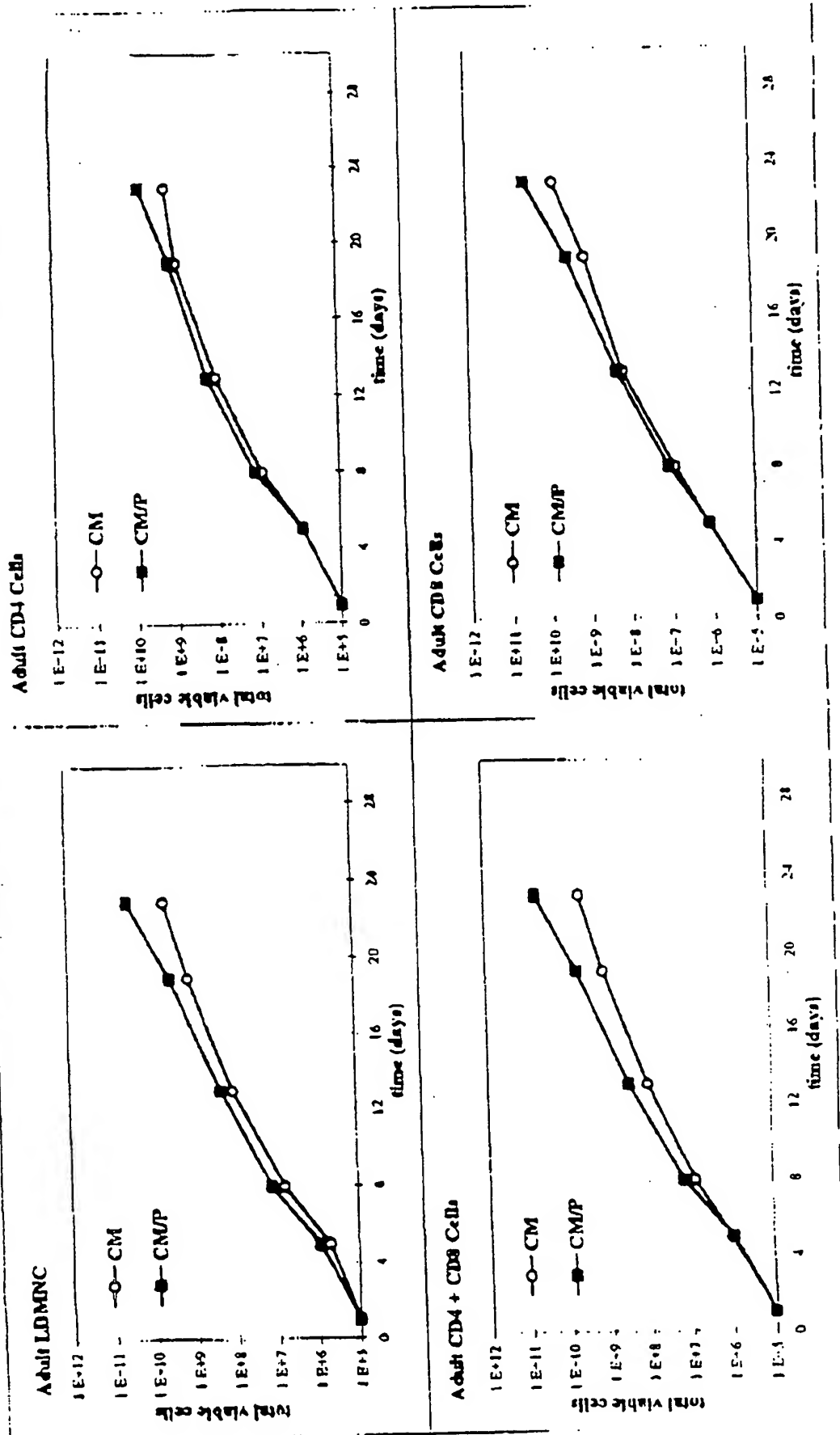
14/30

Figure 7d



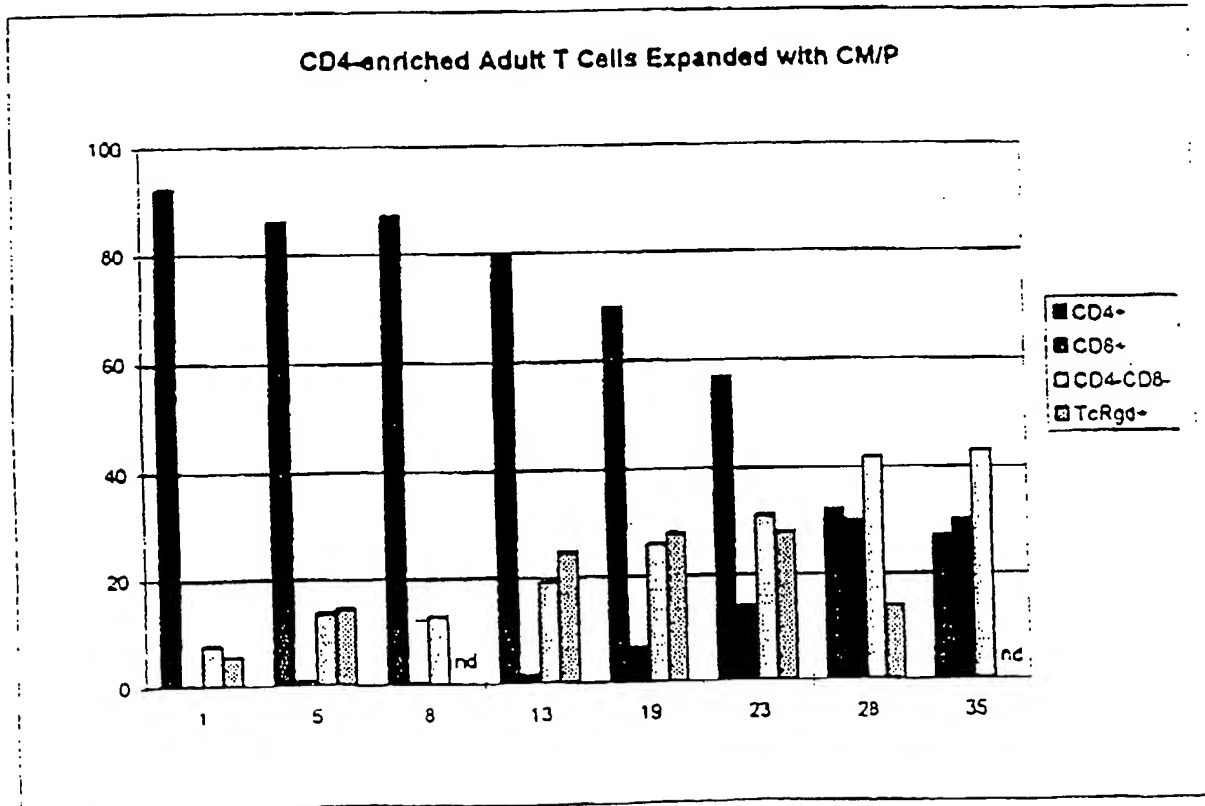
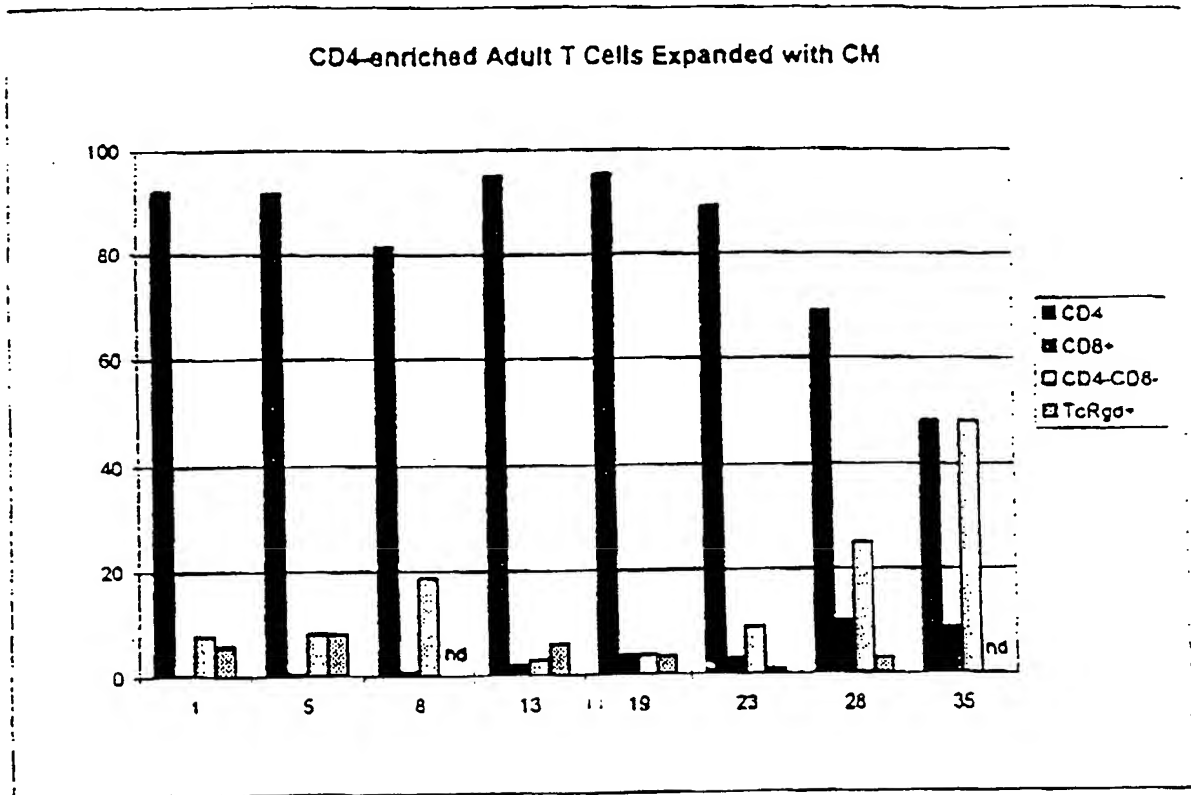


**Figure 8: Expansion of Adult Peripheral Blood T Cell Subsets with CM and CM/P**



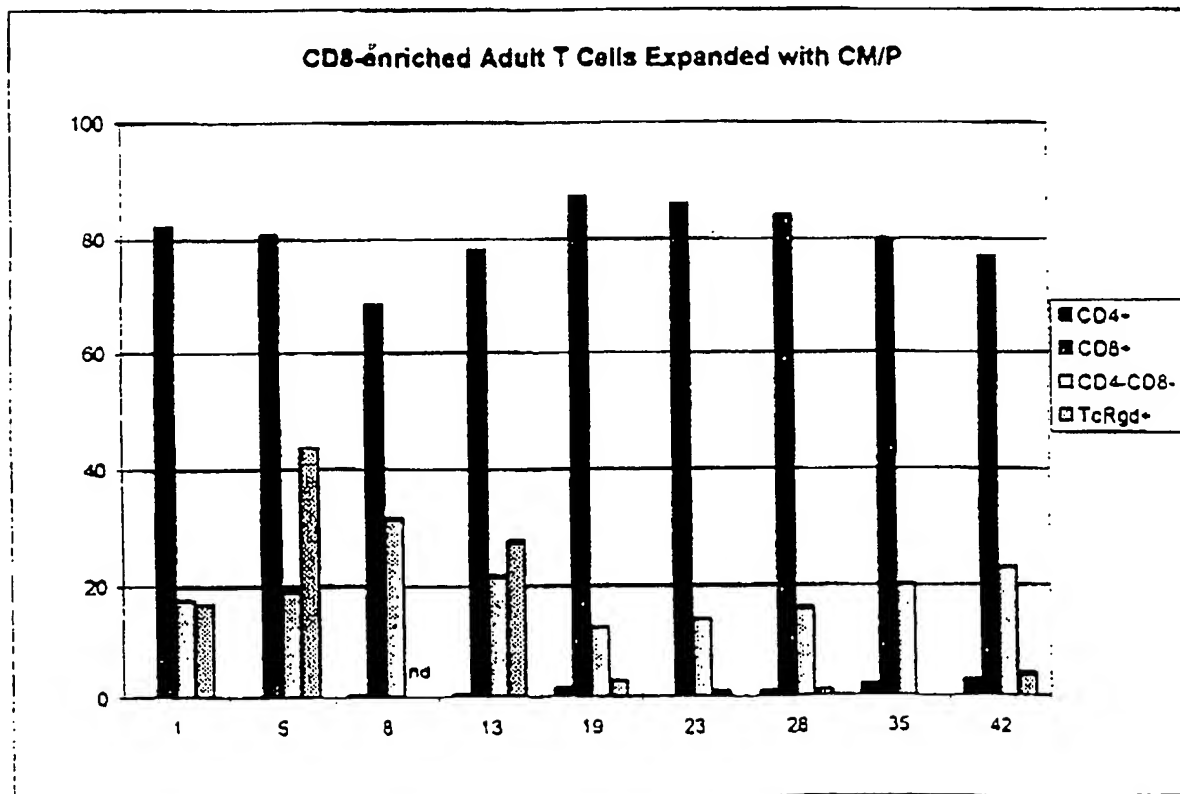
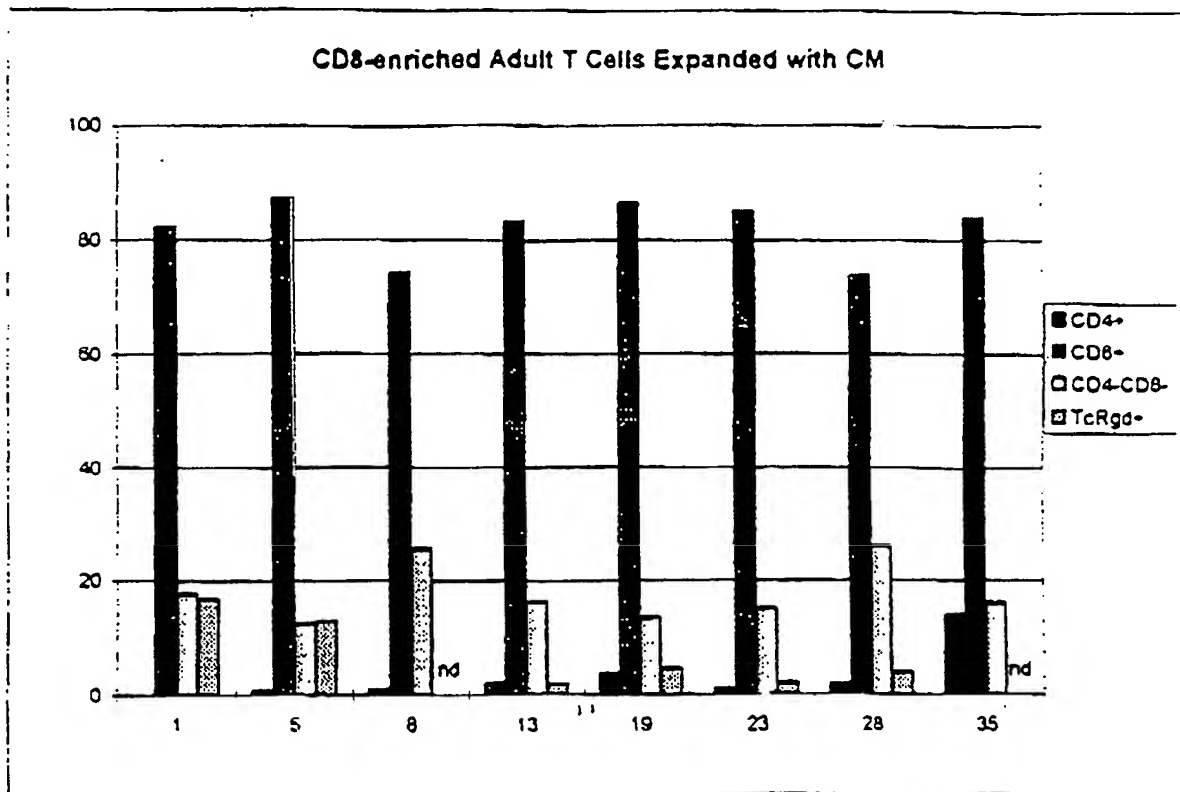
16/30

Figure 9a



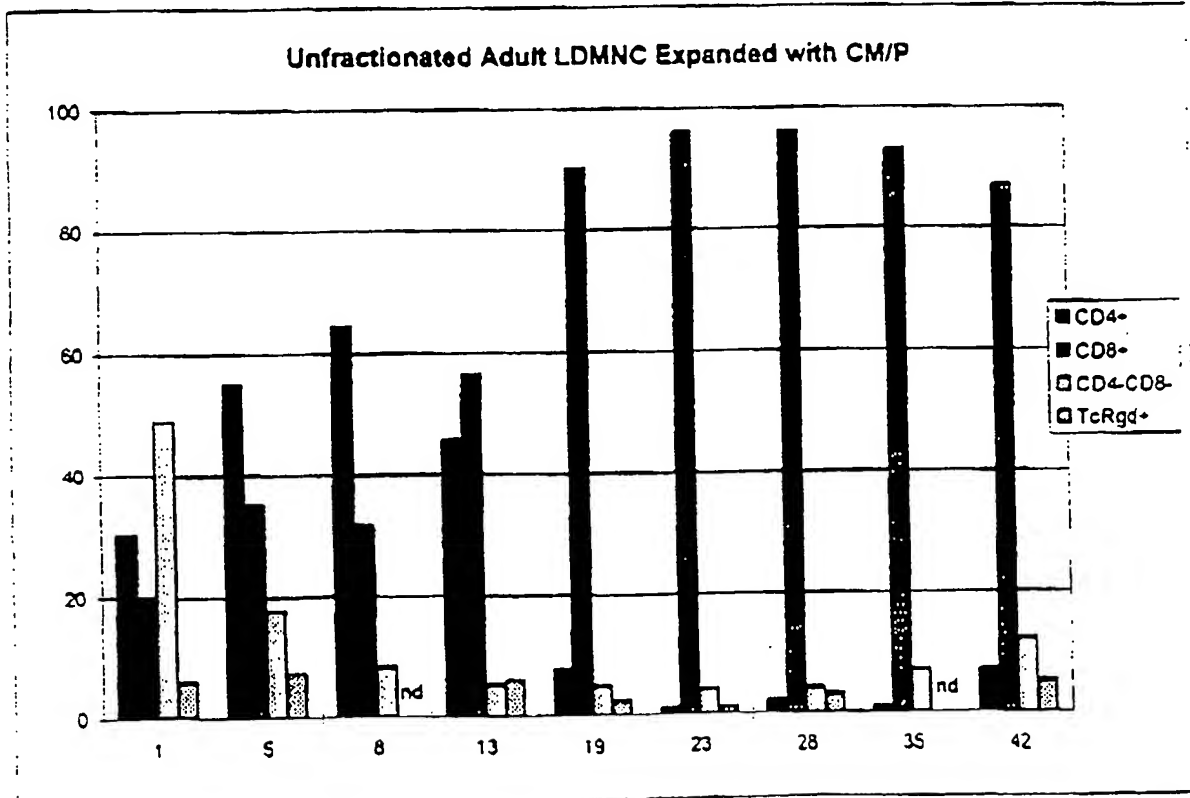
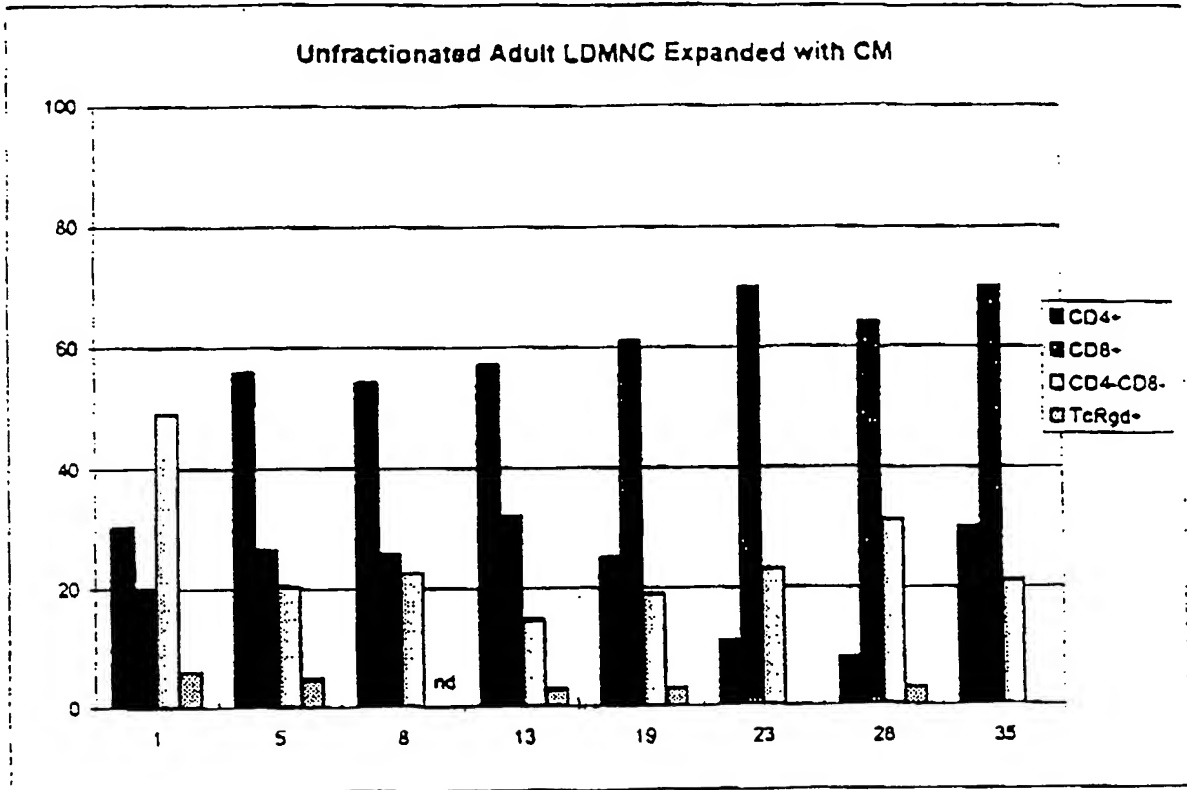
17/30

Figure 9b



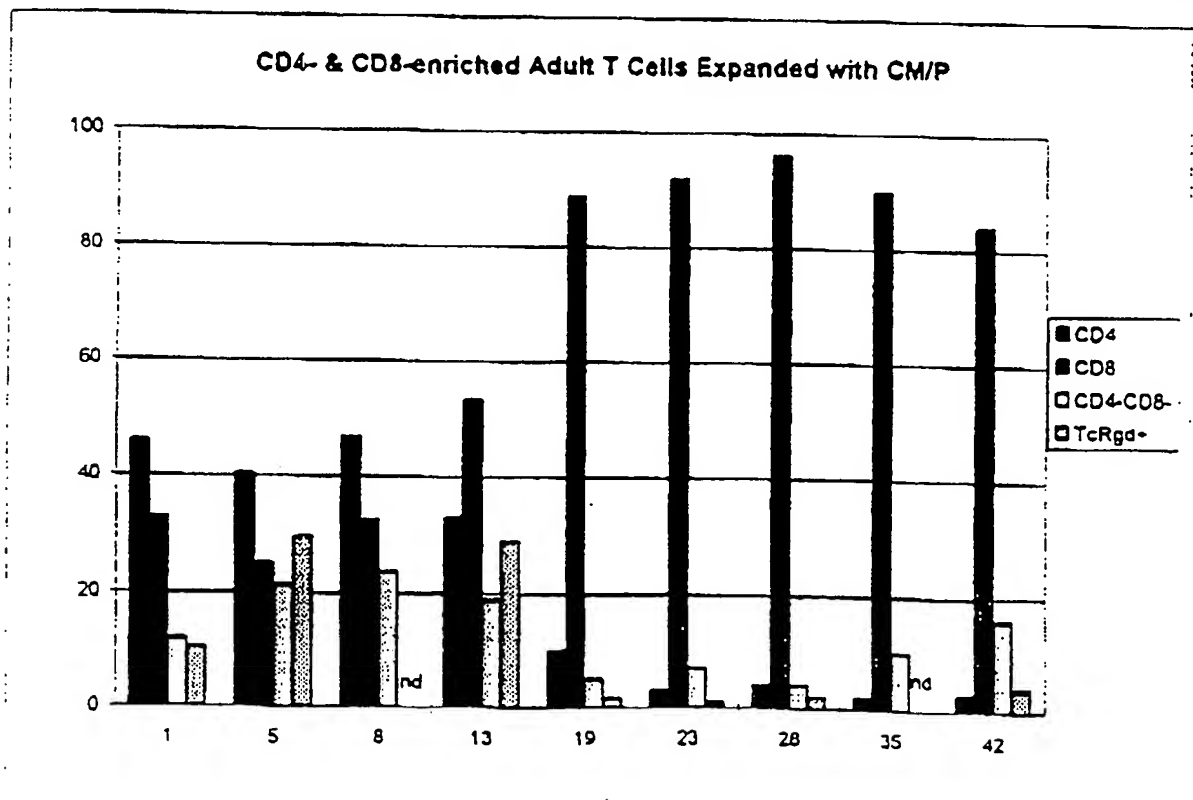
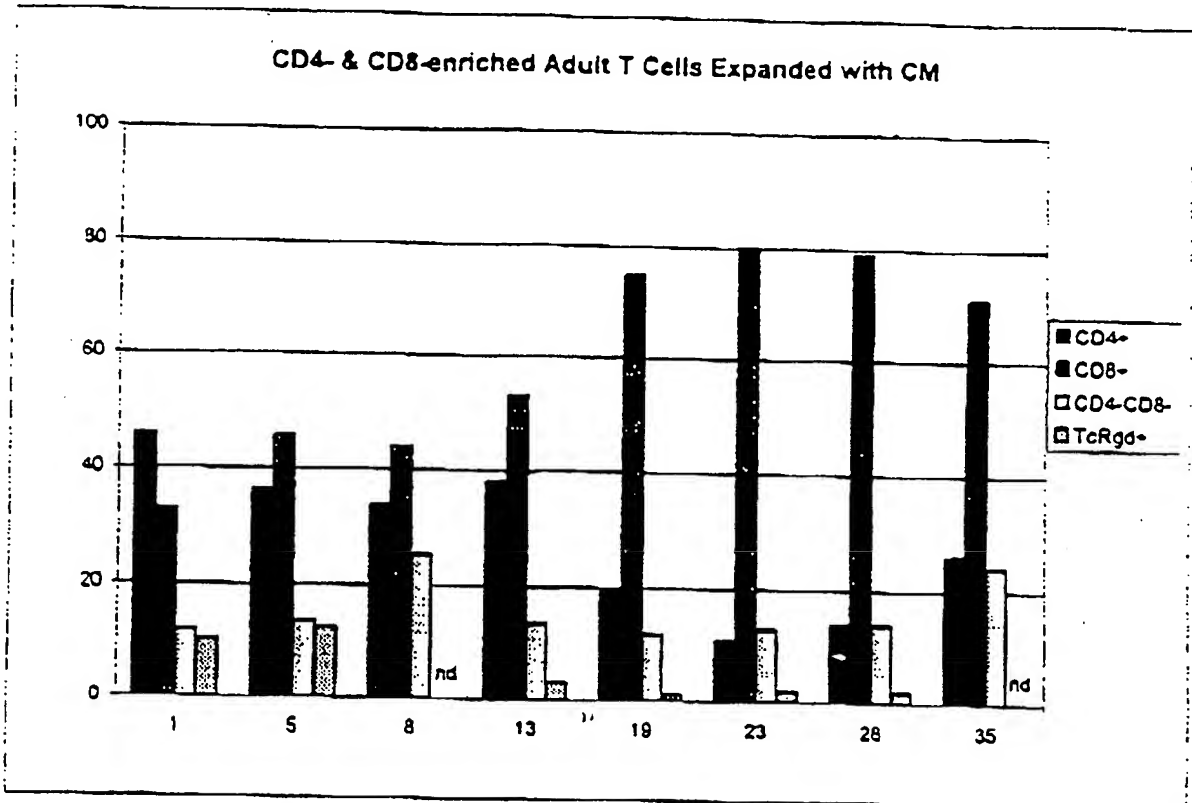
18/30

Figure 9c



19/30

Figure 9d



20/30

Figure 10

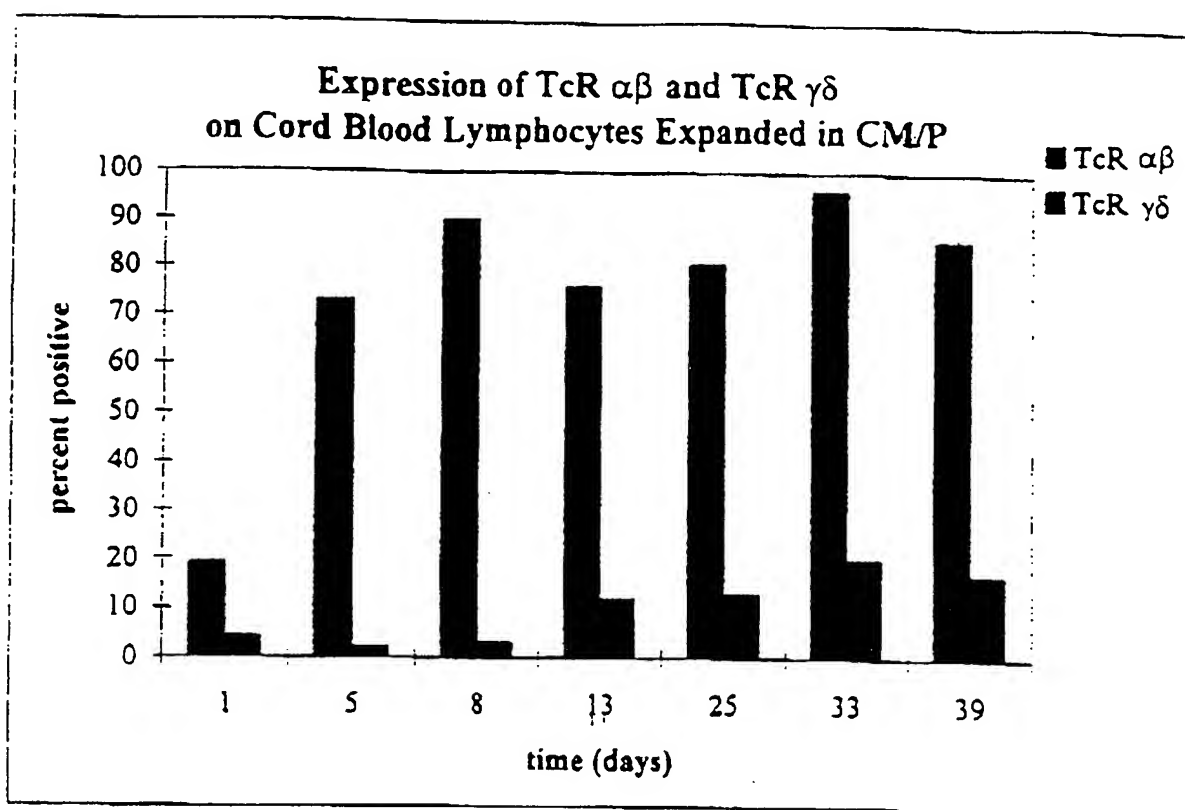


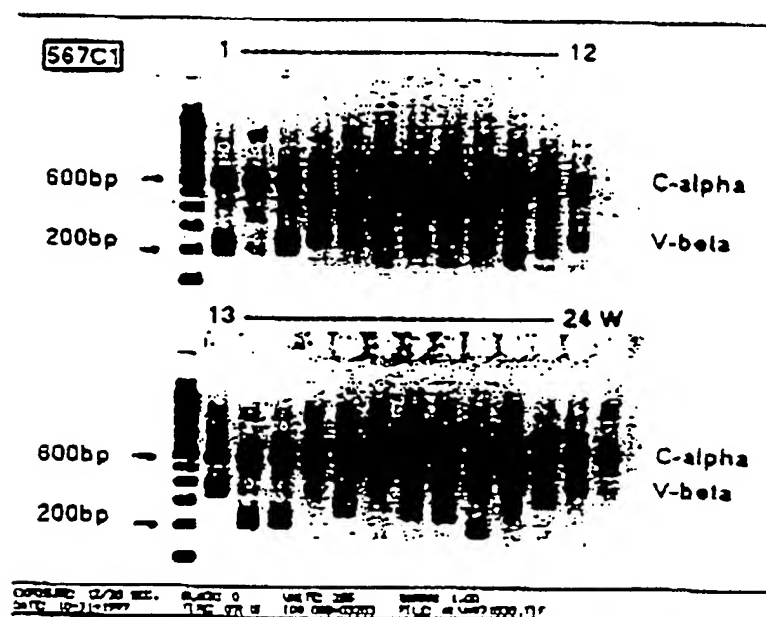
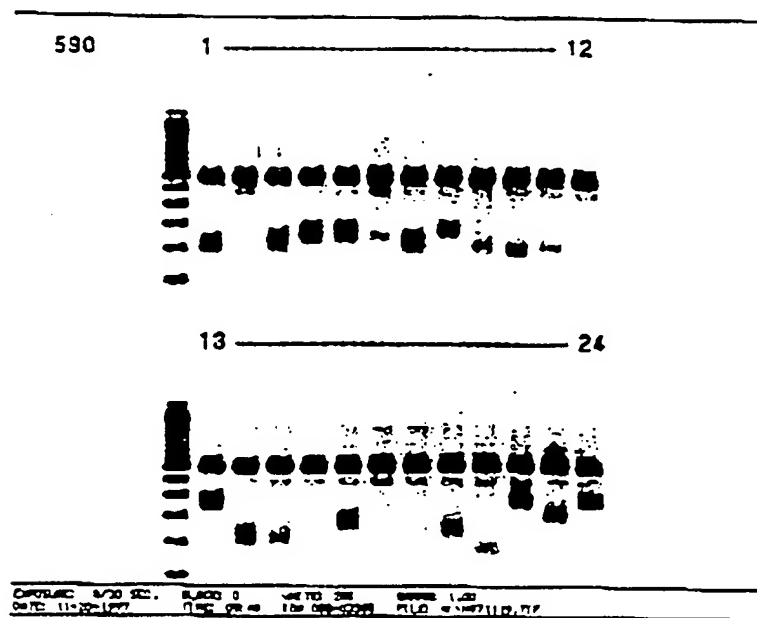
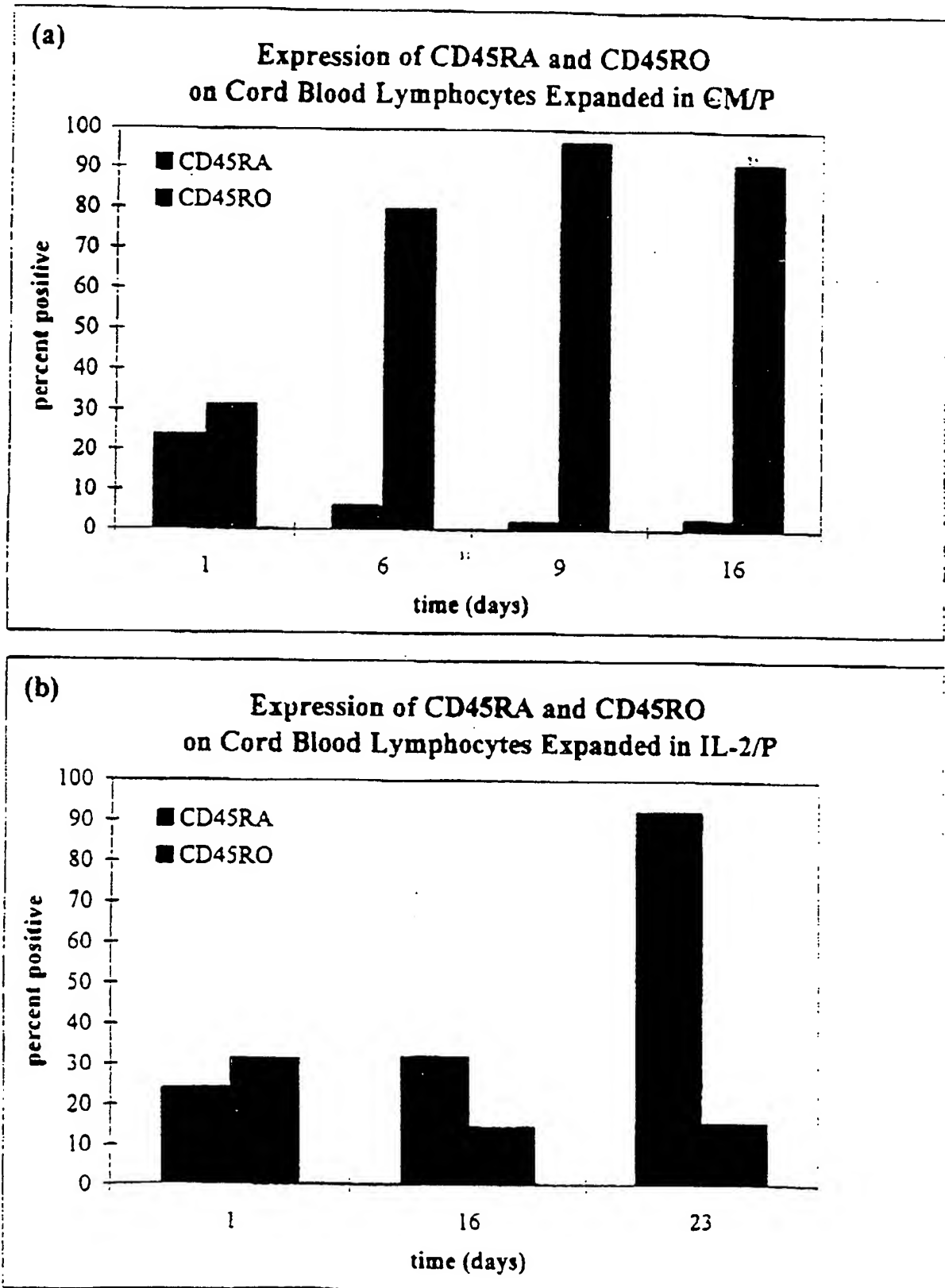
Figure 11: Polyclonal Expansion of CD4<sup>+</sup> T Cells by CM

Figure 12: Polyclonal Expansion of CD8<sup>+</sup> T Cells by CM/P



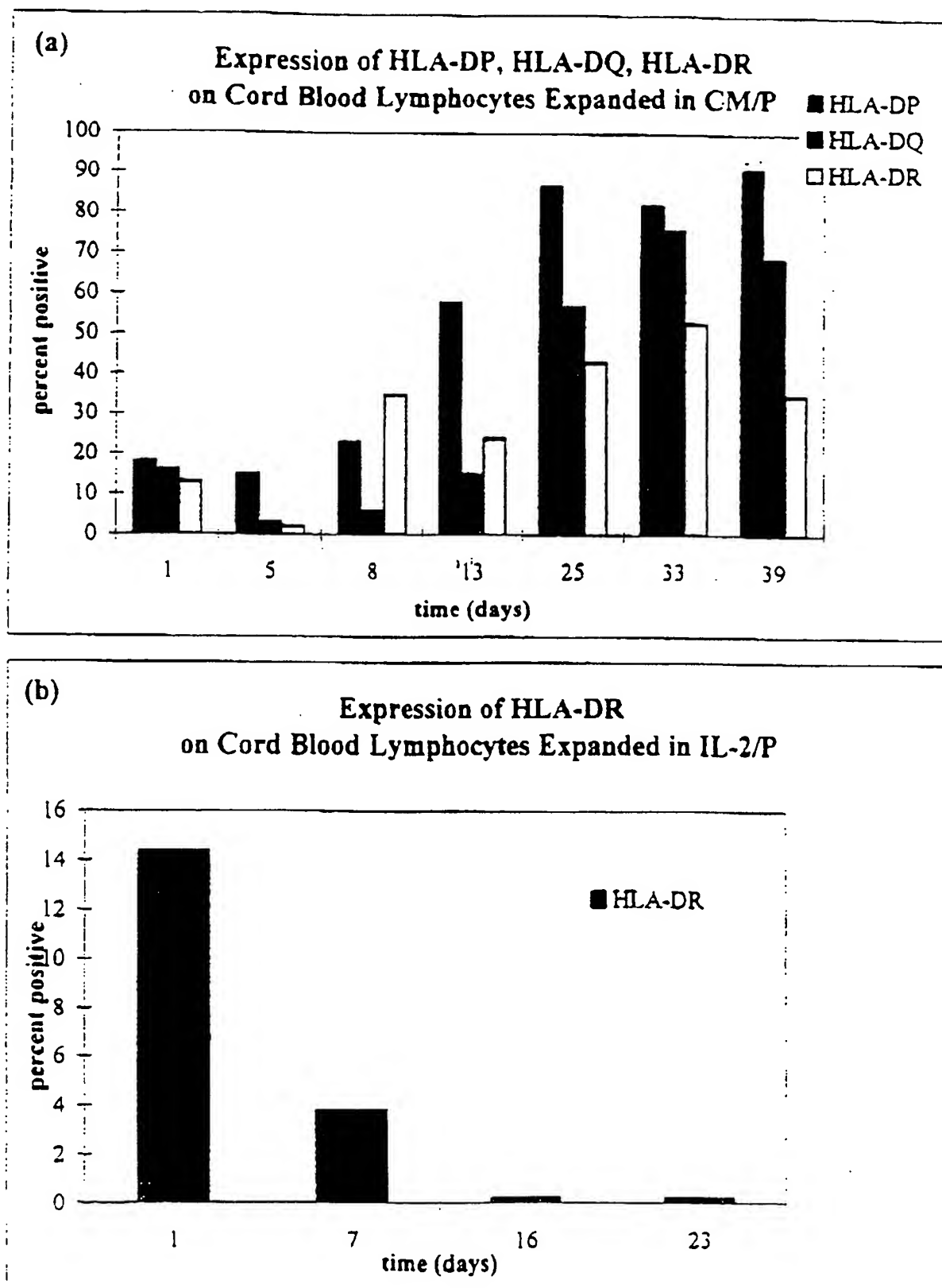
23/30

Figure 13



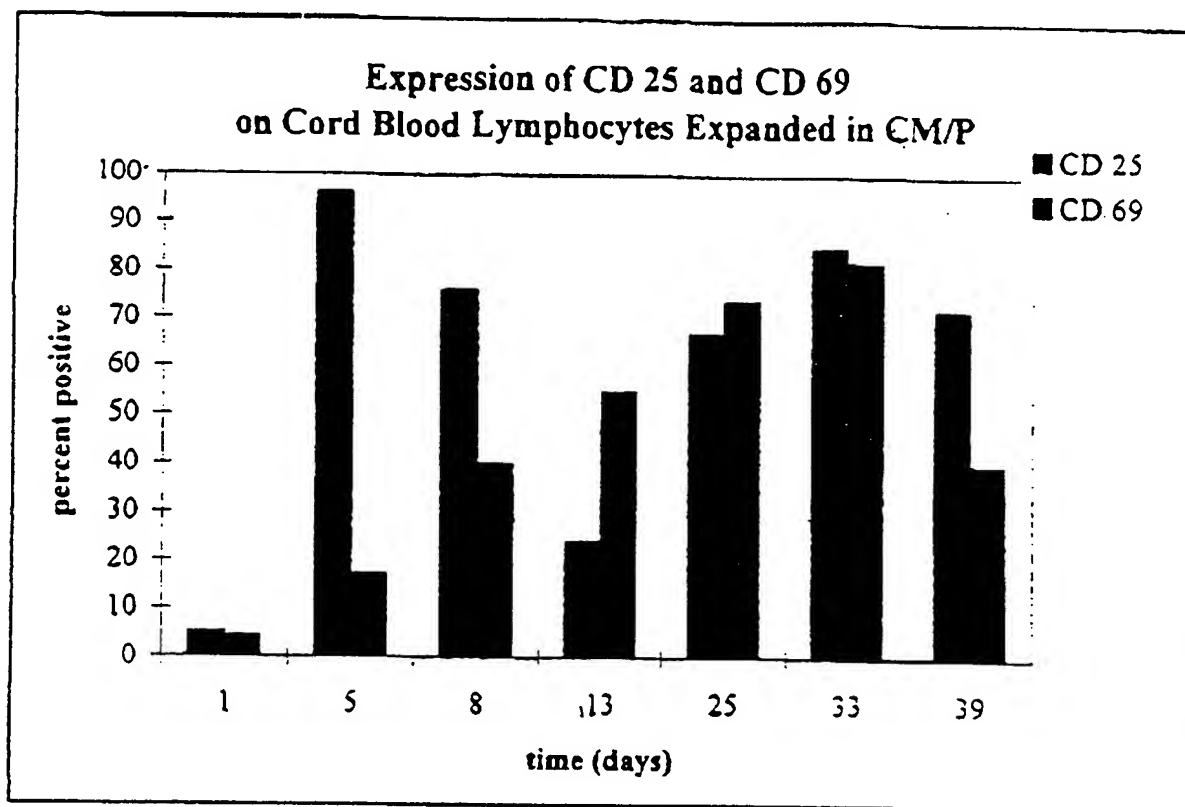
24/30

Figure 14



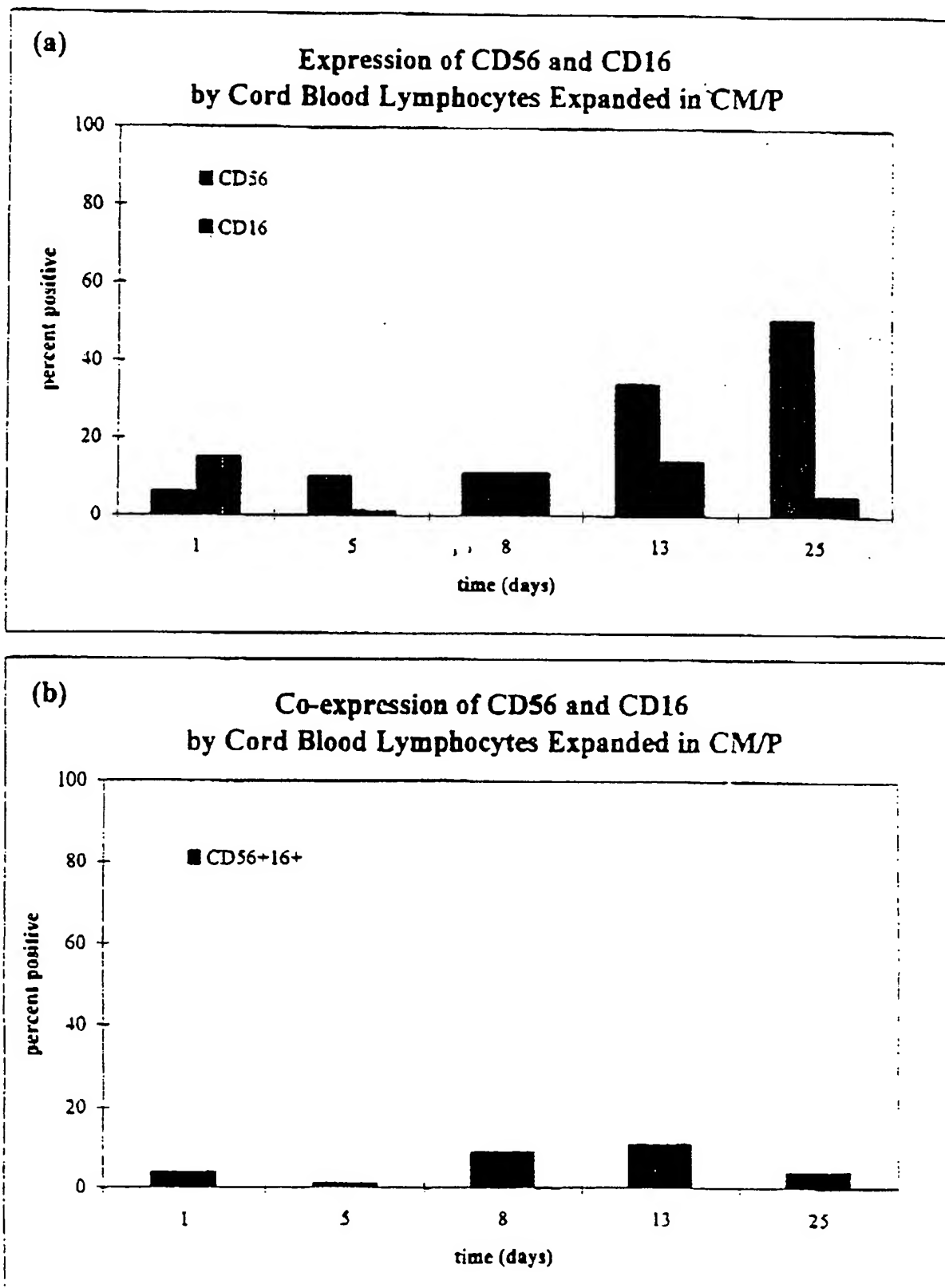
25/30

Figure 15



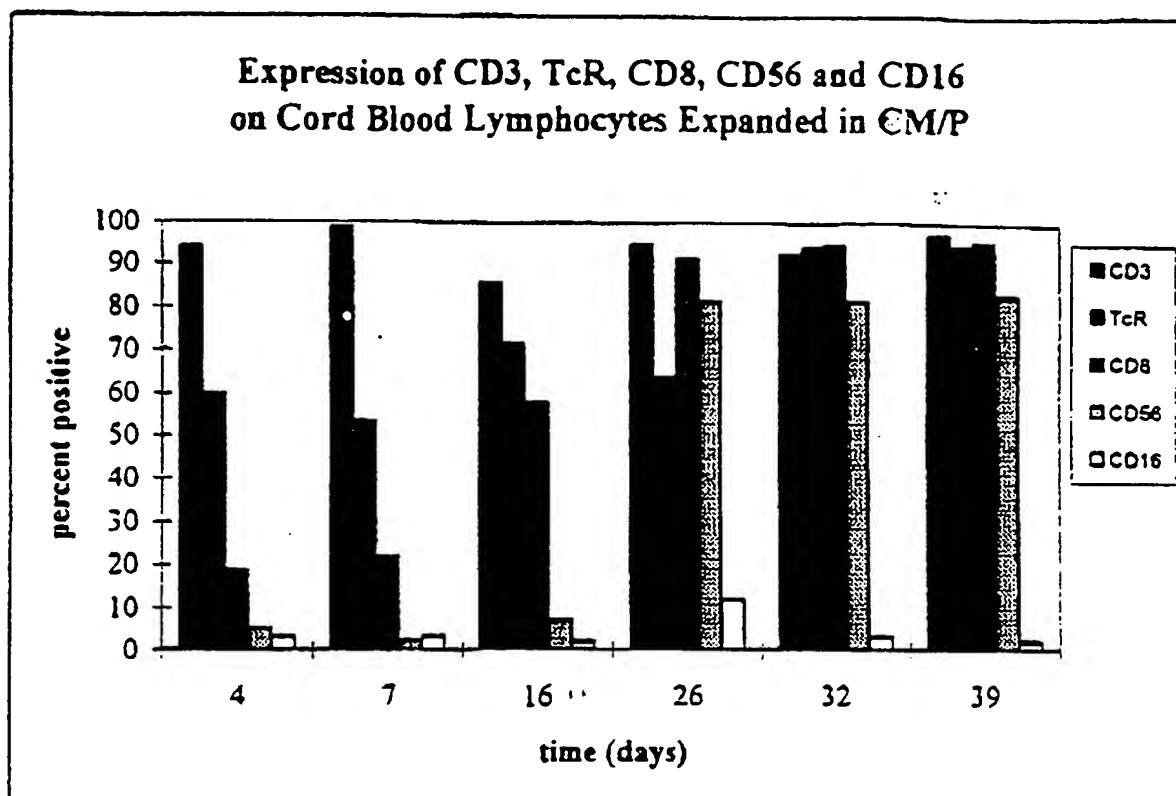
26/30

Figure 16



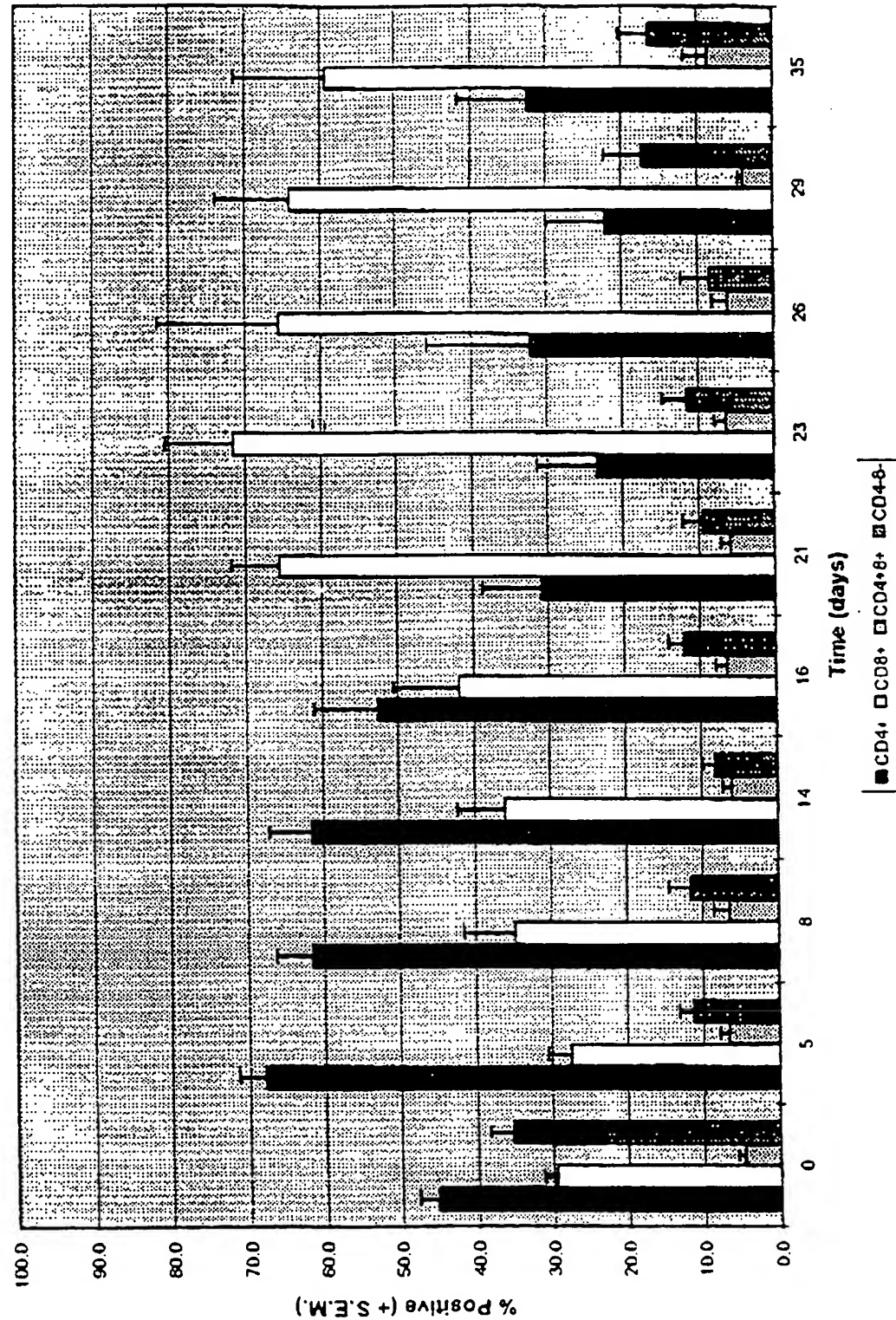
27/30

Figure 17



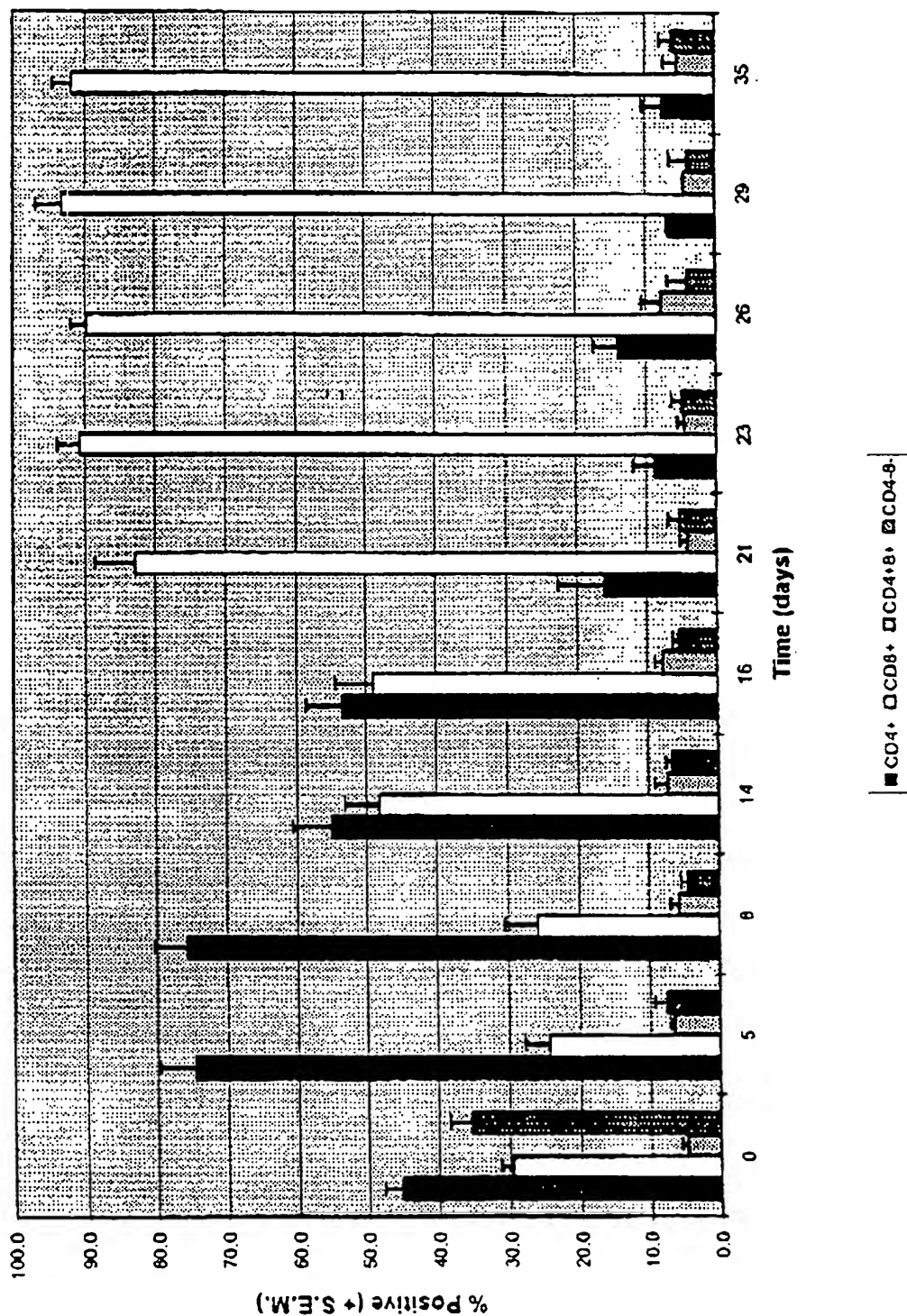
28/30

**Figure 18. Average per cent of CD4+, CD8+, and CD4+8- Adult Blood Cells during Culture with CM**



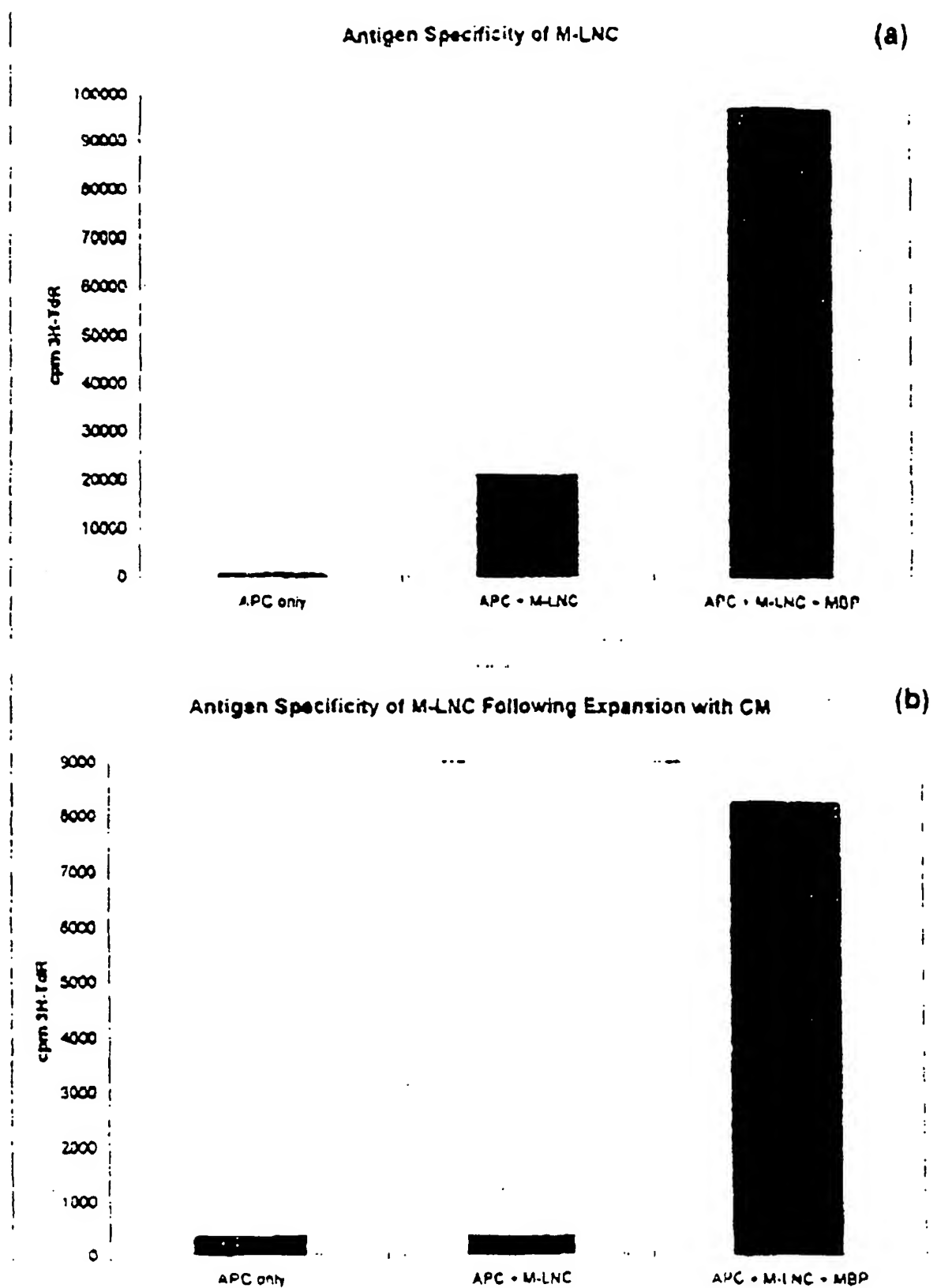
29/30

Figure 19. Average per cent of CD4+, CD8+, and CD4-8- Adult Blood Cells during Culture with CM/P



30/30

Figure 20





A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C12N5/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 86 00927 A (AXON HEALTHCARE LTD) 13 February 1986 see the whole document ---	1-20
X	US 4 596 774 A (CHANG TSE-WEN ET AL) 24 June 1986 see the whole document ---	1-20
X	DE 34 11 184 A (BLUTSPENDEDIENST DT ROTE KREUZ) 31 October 1985 see the whole document -----	1-20



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

15 April 1998

Date of mailing of the international search report

30.04.98

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Authorized officer

Hillenbrand, G

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/CA 98/00049

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

Claims Nos.: 21-56

because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

Claims 21-56 contain only an arbitrary selection of totally different features, which are drafted in such an obscure and imprecise manner that no defined search is possible. Furthermore these totally different technical features are not so linked as to form a single general inventive concept with the invention defined in the residual claims.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 8600927 A	13-02-86	EP 0191049 A JP 61502798 T	20-08-86 04-12-86
US 4596774 A	24-06-86	NONE	
DE 3411184 A	31-10-85	NONE	